

# Local energy density functional for superfluid Fermi gases from effective field theory

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Over the last two decades, many studies in the Density Functional Theory context revealed new aspects and properties of strongly correlated superfluid quantum systems in numerous configurations that can be simulated in experiments. This was made possible by the generalization of the Local Density Approximation to superfluid systems by Bulgac in [Phys. Rev. C **65**, 051305, (2002), Phys. Rev. A **76**, 040502, (2007)]. In the presented work, we propose an extension of the Superfluid Local Density Approximation, systematically improvable and applicable to a large range of many-body quantum problems getting rid of the fitting procedures of the functional parameters. It turns out that only the knowledge of the density dependence of the quasi-particle properties, namely the chemical potential, the effective mass, and the pairing gap function, are enough to obtain an explicit and accurate local functional of the densities without any adjustment *a posteriori*. This opens the way towards an Effective Field Theory formulation of the Density Functional Theory in the sense that we obtain a universal expansion of the functional parameters entering in the theory as a series in pairing gap function. Finally, we discuss possible applications of the developed approach allowing precise analysis of experimental observations. In that context, we focus our applications on the static structure properties of superfluid vortices.

Keywords: density functional theory, superfluidity, ultracold atoms, local density approximation, effective field theory, superfluid quantum vortex

The Density Functional Theory (DFT) is a versatile method describing with very good accuracy the static, dynamic, and thermodynamic properties of many-body quantum systems in a unified framework [1–8]. The success of this approach is due to its relatively low numerical cost compared to the methods that aim to solve under some well-controlled approximations the many-body Schrödinger equation. The DFT is one of the most popular method in condensed matter physics, quantum chemistry, atomic physics, and nuclear physics due to its mathematical and conceptual simplicity. The essence of the modern DFT relies on the Kohn – Sham (KS) equations [9], derived from the Hohenberg – Kohn (HK) theorem [10], recasting the Schrödinger equation into a problem of non-interacting particles evolving in a density-dependent effective potential. Although this procedure is exact, the form of the full effective potential remains unknown [11]. In the state of the art, the unknown (exchange-correlation) part of the functional is approximated [12–14] e.g. using the so-called Local Density Approximation (LDA) or the Generalized Gradient Approximation (GGA) [15–24]. These procedures, guided by the Landau theory of Fermi liquid [25–28] and its extension to finite systems by Migdal [29], require the empirical or semi-empirical adjustment of the parameters appearing in the expansions, allowing an accurate description of the systems of interest. The KS equations arise when densities are parametrized via *orbitals*  $\phi_n$ , which allow to write the kinetic contribution in simple form  $\sim \sum_n |\nabla \phi_n|^2$ . In

condensed matter, these type of functionals are typically refereed as meta-GGA [30]. Although, very accurate for describing systems being in the normal state, the standard KS approach is not able to deal with states exhibiting spontaneous symmetry breaking of the ground-state [31]. For instance, the breaking of the  $U(1)$  symmetry, associated with the particle number conservation, allows to capture most of the Beyond-Mean-Field (BMF) static correlations such as superfluidity [32].

The challenging problem of the generalization of local DFT to superfluid systems was achieved in [33, 34] for dilute (spin-symmetric and imbalanced) fermionic systems at unitarity, i.e. when the  $s$ -wave scattering length of the bare two-body interaction becomes large. This development was guided by (i) the so-called Bogoliubov – de Gennes (BdG) theory or Hartree – Fock – Bogoliubov (HFB) approximation [35–39] for weakly interacting systems in Bardeen – Cooper – Schrieffer (BCS) regime [40] and (ii) the absence of other scales, except mean inter-particle distance, at unitarity [41]. A regularization scheme has been introduced and extensively discussed in [42–44] to remove UV divergences of the pairing fields arising from the fact that local effective contact pairing interaction is considered to build the functional. This last point was an essential component to solve numerically the generalized BdG equations, arising from the functional minimization. From there, numerous studies of the properties of quantum Fermi systems followed and revealed unexpected collective phenomena while providing a better understanding of experimental observations in ultracold atoms physics or nuclear physics [41, 45–58].

The purpose of this work is twofold. First, it consists of a general strategy of constructing local DFT and getting rid of fitting procedures strongly depending on

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the system considered, i.e. defining the functional parameters explicitly in terms of the physical quantities of the systems such as the quasi-particle properties. Second, we revisit the renormalization schemes of effective density-dependent (pairing) contact interaction usually used which do not take into account the *in-medium* effects and the presence of the Fermi sea. Finally, the constructed functional is applied to describe static properties of superfluid quantum vortices which are crucial for understanding the dynamical processes observed in recent experiments [59].

## I. LOCAL DFT FOR SUPERFLUID SYSTEMS

We consider an unpolarized system of interacting fermions with equal masses  $m$  in natural units ( $m = \hbar = k_B = 1$ ) labeled by their spin-projection number  $\sigma \in \{a, b\}$  on the quantization axis. In the (local) DFT formalism, the ground-state energy of the superfluid is given by

$$E = \int \mathcal{E}(n(\mathbf{r}), \tau(\mathbf{r}), \nu(\mathbf{r})) d\mathbf{r}. \quad (1)$$

The (local) energy-density  $\mathcal{E}$  is a function of the normal ( $n$ ), kinetic ( $\tau$ ), and anomalous ( $\nu$ ) densities constructed from the Bogoliubov quasi-particle wave-functions expressed as a doublet Nambu spinor  $\psi_n^\dagger = (u_n^*, v_n^*)$  that satisfy the generalized BdG equations  $\mathcal{H}\psi_n = E_n\psi_n$  for positive eigenvalues. The effective density-dependent grand-canonical Hamiltonian reads

$$\mathcal{H} = \begin{bmatrix} K + U - \mu & \Delta \\ \Delta^* & -K^* - U^* + \mu \end{bmatrix}, \quad (2)$$

where  $\mu$  denote the chemical potential. The densities are then given in terms of the Bogoliubov amplitudes as follow:

$$n(\mathbf{r}) = 2 \sum_{E_n > 0} (|u_n(\mathbf{r})|^2 f_n^+ + |v_n(\mathbf{r})|^2 f_n^-), \quad (3a)$$

$$\tau(\mathbf{r}) = 2 \sum_{E_n > 0} (|\nabla u_n(\mathbf{r})|^2 f_n^+ + |\nabla v_n(\mathbf{r})|^2 f_n^-), \quad (3b)$$

$$\nu(\mathbf{r}) = \sum_{E_n > 0} (f_n^- - f_n^+) u_n(\mathbf{r}) v_n^*(\mathbf{r}), \quad (3c)$$

where the Fermi – Dirac distribution is noted as  $f_n^\pm = [1 + \exp(\pm \beta E_n)]^{-1}$ , with  $\beta = 1/T$  being inverse of temperature. Here, we construct the functional for zero-temperature limit, however one can extend the formalism beyond this limit by introducing the thermal weights  $f_n^\pm$  to the densities. Note that this extension of DFT concept to finite temperatures is approximate. It is equivalent to the finite-temperature HFB theory [60]. Also, finite and small temperature is frequently introduced to the numerical scheme, in order to improve converge properties of a self-consistent algorithms. The kinetic, potential, and pairing operators are given by varying the functional with respect to the density fields, i.e.

$K(\mathbf{r}) = -\nabla \cdot \delta E / \delta \tau(\mathbf{r}) \nabla$ ,  $U(\mathbf{r}) = \delta E / \delta n(\mathbf{r})$ , and  $\Delta^*(\mathbf{r}) = -\delta E / \delta \nu(\mathbf{r})$  respectively.

We introduce a typical momentum scale of the many-body Fermi system that we identified as being the Fermi momentum  $k_F$  related to the total density as  $n \equiv k_F^3 / 3\pi^2$  and the associated Fermi energy  $\varepsilon_F \equiv k_F^2 / 2$ . Then, we assume that the energy-density is given by the following general form:

$$\mathcal{E} = A_\lambda \frac{\tau}{2} + \frac{3}{5} B_\lambda n \varepsilon_F + \frac{C_\lambda}{n^{1/3}} |\nu|^2, \quad (4)$$

where the *functional parameters*  $\{A_\lambda, B_\lambda, C_\lambda\}$  are functions of the density-dependent coupling constant  $\lambda \sim k_F$ . The terms have the following physical meaning: the first one describes kinetic energy, the second one is related to the interaction energy, and the last one models the pairing correlations responsible for the superfluid properties of the system. As discussed later, this local form of functional (4) leads to divergences of the kinetic (first term) and anomalous density (third term) independently that require proper renormalization scheme in order to cancel these UV divergences in the energy density expression. In practice, we introduce a cutoff energy to the sum appearing in eqs (3) and the coupling constant  $C_\lambda / n^{1/3}$  is renormalized according to the value of the cutoff. In the following, we concentrate on dilute interacting systems for which only the leading order of the two-body interaction contributes and we set the dimensionless density-dependent coupling constant  $\lambda = |a_s k_F|$  where  $a_s$  refers to the  $s$ -wave scattering length of the bare Hamiltonian. Note that, in general the coupling constant is defined through local value of Fermi momentum  $k_F(\mathbf{r})$ , and thus in general all functional parameters are position dependent, through the coupling constant dependence  $\lambda(\mathbf{r})$ .

In context of diluted Fermi gas with attractive two-body interaction ( $a_s < 0$ ), we can mention that the so-called Superfluid Local Density Approximation (SLDA) and the BdG functionals are efficient respectively close to unitarity ( $\lambda \gg 1$ ), and in the weak coupling regimes ( $\lambda \ll 1$ ) [34]. Both of them can be described by the functional (4) upon proper choosing of the functional parameters, see also table I. The main motivation of this work is to extend both of these functionals for a finite value of the  $s$ -wave scattering length, i.e. obtain the correct density dependence of the functional parameters keeping the limiting regimes valid. Naively, in the simplest case, we can assume Padé approximations of the functional parameters. Unfortunately, such approximations are inconsistent since the functional parameters are not independent, i.e. linked to each other by physical constraints as the Hugenholtz – van-Hove theorem [61] for instance. To overcome this difficulty, we present below the strategy we adopt to obtain approximate expressions of the functional parameters in terms of the quasi-particle properties, namely the effective mass, the chemical potential, and the pairing gap function. However, it is important to notice that the proposed theory can be extended to a larger class of many-body systems with richer multi-body

interactions.

The popularity of the DFT method stems from the fact that it is a general-purpose method. It means that it can be applied to variety of setups, including confined systems by an external potential  $V_{\text{ext}}(\mathbf{r})$  or coupled to the external pairing field  $\Delta_{\text{ext}}(\mathbf{r})$ . The functional (1) describes only intrinsic energy of the system. In the presence of external potentials, the total energy has form

$$E' = \int \mathcal{E}(n(\mathbf{r}), \tau(\mathbf{r}), \nu(\mathbf{r})) d\mathbf{r} + \int V_{\text{ext}}(\mathbf{r}) n(\mathbf{r}) d\mathbf{r} - \int (\Delta_{\text{ext}}(\mathbf{r}) \nu^*(\mathbf{r}) + \text{h.c.}) d\mathbf{r}. \quad (5)$$

Minimization of this functional introduces changes only to the mean-field and the pairing potentials:  $U(\mathbf{r}) \rightarrow U(\mathbf{r}) + V_{\text{ext}}(\mathbf{r})$  and  $\Delta(\mathbf{r}) \rightarrow \Delta(\mathbf{r}) + \Delta_{\text{ext}}(\mathbf{r})$ . Moreover in eq. (1), we have assumed implicitly that the solution has no currents,  $\mathbf{j}(\mathbf{r}) = 0$ , where

$$\mathbf{j}(\mathbf{r}) = 2 \sum_{E_n > 0} \text{Im}[u_n^*(\mathbf{r}) \nabla u_n(\mathbf{r})] f_n^+ - 2 \sum_{E_n > 0} \text{Im}[v_n^*(\mathbf{r}) \nabla v_n(\mathbf{r})] f_n^-. \quad (6)$$

If the solution does not satisfy this requirement, for example solution representing a quantum vortex, one should use Galilean invariant expression for the kinetic density  $\tau(\mathbf{r}) \rightarrow \tau(\mathbf{r}) - \mathbf{j}^2(\mathbf{r})/n(\mathbf{r})$ , and add also to the total energy contribution from the matter flow  $E_{\text{flow}} = \int \frac{\mathbf{j}^2(\mathbf{r})}{2n(\mathbf{r})} d\mathbf{r}$ , which accounts for center of mass motion energy [34]. In summary, in presence of currents the functional eq. (1) must be redefined  $E \rightarrow E + \Delta E$  where

$$\Delta E = \int (1 - A_\lambda) \frac{\mathbf{j}^2(\mathbf{r})}{2n(\mathbf{r})} d\mathbf{r}. \quad (7)$$

That induces a change in the definition of the kinetic and the potential operator appearing in the Hamiltonian of eq. (2) as

$$K(\mathbf{r}) \rightarrow K(\mathbf{r}) - \frac{i}{2} \left[ \frac{\delta \Delta E}{\delta \mathbf{j}(\mathbf{r})} \cdot \nabla + \nabla \cdot \frac{\delta \Delta E}{\delta \mathbf{j}(\mathbf{r})} \right], \quad (8a)$$

$$U(\mathbf{r}) \rightarrow U(\mathbf{r}) + \frac{\delta \Delta E}{\delta n(\mathbf{r})}. \quad (8b)$$

### A. Constraints on quasi-particle properties

We first consider a homogeneous Fermi gas at zero-temperature of density  $n$ , ground-state energy per unit volume  $E \equiv 3n\xi_\lambda \varepsilon_F/5$  and a chemical potential  $\mu/\varepsilon_F = \zeta_\lambda$  that verify the thermodynamic relationship  $\zeta_\lambda = \xi_\lambda + (\lambda/5)\xi'_\lambda$ . Moreover, we assume that the pairing gap function can be expressed as  $\Delta \equiv -C_\lambda \nu/n^{1/3} = \eta_\lambda \varepsilon_F$ . Following the assumptions of the SLDA approach relying on the BCS theory results, we define the dispersion relation for the quasi-particle energies  $E_k^2 = \varepsilon_k^2 + \Delta^2$

with the quadratic approximate single-particle energies  $\varepsilon_k = k^2/2m^* + U - \mu$  where  $k$  denote the momentum of the quasi-particle considered. The effective mass  $m^*$  and the effective mean-field potential  $U$  are obtained by varying the energy functional according to the densities  $\tau$  and  $n$ , respectively. In order to maintain compatibility with notation of the original SLDA functional [33], we define the *SLDA parameters*  $\{\alpha_\lambda, \beta_\lambda, \gamma_\lambda\}$  as  $1/m^* \equiv \alpha_\lambda$  and  $U \equiv (\tau/2) \partial \alpha_\lambda / \partial n + \beta_\lambda \varepsilon_F - \Delta^2/(3n^{2/3}\gamma_\lambda)$  with

$$\alpha_\lambda = A_\lambda \quad (9a)$$

$$\beta_\lambda = B_\lambda + \frac{\lambda}{5} \frac{\partial}{\partial \lambda} B_\lambda, \quad (9b)$$

$$\frac{1}{\gamma_\lambda} = \frac{1}{C_\lambda} + \lambda \frac{\partial}{\partial \lambda} \frac{1}{C_\lambda}, \quad (9c)$$

where we have use chain rules of derivatives  $3n \partial X / \partial n = \lambda \partial X / \partial \lambda$ . Note that the SLDA parameters are related to the functional parameters  $B_\lambda$ , and  $C_\lambda$  defining the functional in eq. (4) but in general they differ from each other except for the unitary point, where  $B_\infty = \beta_\infty$  and  $C_\infty = \gamma_\infty$ . The single-particle energies reads  $\varepsilon_k = \alpha_\lambda k^2/2 + b_\lambda \varepsilon_F$  with the shorthand notations  $b_\lambda = (\tau/2) \partial \alpha_\lambda / \partial n + (\beta_\lambda - \zeta_\lambda) - (3\pi^2)^{2/3} \eta_\lambda^2/6\gamma_\lambda$ , and we introduce  $c_\lambda = 6C_\lambda/(3\pi^2)^{2/3}$  that will be used below, defining the *HFB parameters*. As it will be shown later, these parameters have compact representation in terms of quantities that are accessible for quantum Monte Carlo calculations, eqs (13). Here, there are used to shorten the notation. For the reader, we provide in table I a summary of the notations and conventions for the parameters used throughout the document.

The BCS theory stands that the following integral equations are fulfilled [33, 34]:

$$n \equiv \frac{k_F^3}{3\pi^2} = \frac{1}{2\pi^2} \int k^2 dk \left( 1 - \frac{\varepsilon_k}{E_k} \right), \quad (10a)$$

$$\frac{2}{\pi^2} \frac{k_F}{c_\lambda} \equiv \frac{n^{1/3}}{C_\lambda} = \frac{1}{2\pi^2} \int k^2 dk \left( \frac{1}{\alpha_\lambda k^2} - \frac{1}{2E_k} \right). \quad (10b)$$

The meaning of these equations is following: the first one is zero temperature expression for the particle density, the eq. (3a), with explicit BCS formulas for  $u_k$  and  $v_k$ , while the second one corresponds to the gap equation where a counterterm was added to regularize integral as usually done in Effective Field Theory (EFT) formulation of BCS-like theory [62]. We remark that eq. (10a) is independent of  $c_\lambda$  and allows us to determine  $b_\lambda$  as a function of  $\alpha_\lambda$  for fixed value of  $\eta_\lambda$ . Consequently, the effective mass parameter should be known to finally fix the value of  $c_\lambda$  with eq. (10b). From this fitting strategy, the result for  $\eta_\infty = 0.493(12)$  corresponding to unitary regime has been obtained in [33, 34] with  $\alpha_\infty = 1.094(17)$  and  $\xi_\infty = 0.40(1)$ .

From [62–64], we can evaluate these integrals analytically in Dimensional Regularization (DR) with Minimal

TABLE I. Summary of the notations and conventions used in this document. The last column provides the equation(s) used to estimate the associated quantity/parameter with the proposed method. The ground-state energy is given in unit of the Free Gas energy per unit volume  $E_{\text{FG}} = 3n\varepsilon_F/5$ . For reference, we give the value of the parameters at unitarity and at zero-density limit obtained using the APS[ $x, y, z$ ] functional used in this work.

APS[ $x, y, z$ ]		$\lambda \rightarrow \infty$	$\lambda \rightarrow 0$	
ground-state energy [ $E_{\text{FG}}$ ]	$\xi_\lambda$	0.36	1	(16)
chemical potential [ $\varepsilon_F$ ]	$\zeta_\lambda$	0.36	1	
effective mass [ $m$ ]	$m^*$	1.19	1	
pairing gap function [ $\varepsilon_F$ ]	$\eta_\lambda$	0.46	$\frac{8}{e^2} \exp(-\frac{\pi}{2\lambda})$	(17)
<b>functional parameters</b>	$A_\lambda$	0.84	1	$= 1/m^*$
	$B_\lambda$	-0.28	$-\frac{10}{9\pi}\lambda$	
	$C_\lambda$	-14.96	$-\frac{4\pi}{(3\pi^2)^{1/3}}\lambda$	
<b>SLDA parameters</b>	$\alpha_\lambda$	0.84	1	$= 1/m^*$
	$\beta_\lambda$	-0.28	$-\frac{4}{3\pi}\lambda$	
	$\gamma_\lambda$	-14.96	$\frac{15\pi^3/(1-7\ln 2)}{(3\pi^2)^{1/3}\lambda}$	
<b>HFB parameters</b>	$b_\lambda$	-0.62	-1	(13)
	$c_\lambda$	-9.38	$-\frac{8}{\pi}\lambda$	

Subtraction (MS) scheme for instance by defining

$$I_l(s, t) \equiv - \oint_0^\infty \frac{z^l dz}{\sqrt{(z+s)^2 + t^2}} = \frac{\pi}{\sin \pi l} (s^2 + t^2)^{l/2} P_l\left(s/\sqrt{s^2 + t^2}\right), \quad (11)$$

where  $P_l$  denote the Legendre functions of the first kind and the sign  $\oint$  denote the integration in DR + MS scheme. Note that in MS scheme, integrations of powers of  $z$  are assumed to give zero contribution to the final results, i.e.  $\oint z^l dz \rightarrow 0$ . After a change of variables and assuming  $\alpha_\lambda > 0$  the equations (10) can be rewritten as:

$$1 = \frac{3}{4} \left[ I_{3/2} \left( \frac{b_\lambda}{\alpha_\lambda}, \frac{\eta_\lambda}{\alpha_\lambda} \right) + \left( \frac{b_\lambda}{\alpha_\lambda} \right) I_{1/2} \left( \frac{b_\lambda}{\alpha_\lambda}, \frac{\eta_\lambda}{\alpha_\lambda} \right) \right], \quad (12a)$$

$$\frac{\alpha_\lambda}{c_\lambda} = \frac{1}{8} I_{1/2} \left( \frac{b_\lambda}{\alpha_\lambda}, \frac{\eta_\lambda}{\alpha_\lambda} \right). \quad (12b)$$

Remark that, these analytic results are independent from the regularization scheme used to calculate the integrals of eq. (10). We solve eq. (12) perturbatively by expanding

in power of  $(\eta_\lambda/\alpha_\lambda)^n$  as follow<sup>1</sup>:

$$b_\lambda = \alpha_\lambda \sum_n \mathcal{B}_n (\ln \eta_\lambda/\alpha_\lambda) \times \left( \frac{\eta_\lambda}{\alpha_\lambda} \right)^n, \quad (13a)$$

$$\frac{1}{c_\lambda} = \frac{1}{\alpha_\lambda} \sum_n \mathcal{C}_n (\ln \eta_\lambda/\alpha_\lambda) \times \left( \frac{\eta_\lambda}{\alpha_\lambda} \right)^n, \quad (13b)$$

where the first functions  $\mathcal{B}_n$  and  $\mathcal{C}_n$ , up to  $n = 8$ , are given in appendix A. We obtained prescription for inducing HFB parameters from the effective mass  $m^* = 1/\alpha_\lambda$  and, the pairing gap  $\Delta = \eta_\lambda \varepsilon_F$ . The chemical potential  $\zeta_\lambda$ , and related to it the equation of state  $\xi_\lambda$ , as well as the kinetic density are needed in order to disentangle SLDA parameters from HFB parameters. More precisely, the kinetic density is obtained in the same way as the normal density eq. (10a) leading to:

$$\frac{\tau}{n\varepsilon_F} = \frac{3}{2} \left[ I_{5/2} \left( \frac{b_\lambda}{\alpha_\lambda}, \frac{\eta_\lambda}{\alpha_\lambda} \right) + \left( \frac{b_\lambda}{\alpha_\lambda} \right) I_{3/2} \left( \frac{b_\lambda}{\alpha_\lambda}, \frac{\eta_\lambda}{\alpha_\lambda} \right) \right].$$

In practice, the  $I_l(s, t)$  functions are approximated following the appendix A. The expansion defined by eq. (13) allows us to get rid of fitting procedures since the HFB parameters are given by a systematically improvable series.

The eq. (13) together with definition of SLDA parameters provides prescription for inducing  $\{\alpha_\lambda, \beta_\lambda, \gamma_\lambda\}$  from  $\{m^*, \zeta_\lambda, \eta_\lambda\}$ . In the last step we need to convert the SLDA parameters into the functional parameters  $\{A_\lambda, B_\lambda, C_\lambda\}$ . While for  $A_\lambda$  and  $C_\lambda$  it is trivial, for  $B_\lambda$  we need to solve differential equation (9b). Its general solution can be expressed, after successive integrations by parts, as

$$B_\lambda = \frac{5}{\lambda^5} \int_0^\lambda \beta_l l^4 dl = \sum_{n=0}^{\infty} (-1)^n \frac{5! \lambda^n}{(n+5)!} \frac{\partial^n \beta_\lambda}{\partial \lambda^n}.$$

Here we will consider the expansion only up to second order in  $\lambda \sim k_F$ , i.e.

$$B_\lambda \approx \beta_\lambda - \frac{\lambda \beta'_\lambda}{6} + \frac{\lambda^2 \beta''_\lambda}{42}. \quad (14)$$

As it will be shown, the truncated expansion is consistent with the second-order constraint on the weak coupling regime, and provides numerically accurate results.

Summarizing, in order to extract the  $\lambda$  dependence of the functional parameters, we need:

1. the total ground-state energy, or equivalently the chemical potential, i.e. the generalized Bertsch parameter, for all values of  $\lambda$ , e.g. those developed in [68, 69];

<sup>1</sup> For stability reason, due to the fact that we simply use quadratic approximation for the single-particle energies, we consider only the case  $b_\lambda < 0$  [65–67].

2. the  $\lambda$ -dependence of the associated effective mass, e.g. discussed in [70] related to *ab initio* adjustments;
3. the evolution of the pairing gap function as a function of  $\lambda$  at zero-temperature obtained from *ab initio* calculations and experiments.

Consequently, we argue that the proposed method is applicable not only for diluted systems but also for general superfluid many-body systems, providing we have access (from either experiments or *ab initio* calculations) to the chemical potential, the effective mass, and the pairing field without further adjustment. Strictly speaking, the parametrization of the induced functional is unique and universal, assuming that the functional has SLDA-type form, eq. (4). Although, the functional has been constructed to reproduce properties of the uniform system, it is expected to provide good quality results also for non-uniform systems, as it was in the case of the original SLDA functional [34]. In the next section, we describe the methodology to impose the correct limits and obtain these physical quantities.

### B. Parameterization of physical quantities for homogeneous dilute systems at zero-temperature

For the ground-state energy or the generalized Bertsch parameter  $\xi_\lambda$ , we chose the APS functional [70] displayed in fig. 1(a, d) and the associated inverse effective mass  $\alpha_\lambda$  (shown in fig. 1(b, e)) is obtained through the derivative at the Fermi surface of the associated single-particle potential. To provide its explicit form, let us first introduce the following parametric function [71]:

$$\mathcal{S}_\lambda = (\sigma - 1) \arctan\left(\frac{\lambda u}{1 + \lambda v}\right), \quad (15)$$

where the spin degeneracy is denoted by  $\sigma$  ( $\sigma = 2$  for spin symmetric systems). The dimensionless ground-state energy, the chemical potential, and the effective mass are then given in terms of this parametric function and its first derivative as follow:

$$\xi_\lambda = 1 - \frac{16}{3\pi} \mathcal{S}_\lambda, \quad (16a)$$

$$\zeta_\lambda = 1 - \frac{16}{3\pi} \mathcal{S}_\lambda - \frac{16\lambda}{15\pi} \mathcal{S}'_\lambda, \quad (16b)$$

$$\alpha_\lambda = 1 + \frac{5uw - 7xuv}{9\pi u^2} \lambda^2 \mathcal{S}'_\lambda + \frac{2w + 7xv}{9\pi u^2} (1 + \lambda v) \lambda^2 \mathcal{S}_\lambda'^2, \quad (16c)$$

where the constants are given by  $u = 5/24$ ,  $v = 6(11 - 2 \ln 2)/35\pi$ ,  $w = 24(1 - 7 \ln 2)/35\pi$ . The free parameter of the effective mass parametrisation,  $x$ , is adjusted to reproduce the result of [72] at unitarity,  $\alpha_\infty^{-1} = 1.19$ , leading to  $x \simeq -0.75$ .

The advantages of these parameterizations, discussed extensively in [71], reside in the fact that they provide a proper reproduction of thermodynamical properties of dilute Fermi gas at zero-temperature across the whole range  $a_s < 0$  [73]. In particular, the low-density expansions up to second order in the Many-Body Perturbation Theory (MBPT), for the energy as well as the effective mass, are fulfilled while keeping finite values at unitarity under a compact and explicit form in terms of the density-dependent coupling constant  $\lambda$ . Note in particular that the Bertsch parameter predicted by the functional<sup>2</sup> ( $\xi_\infty \simeq 0.36$ ) is consistent with the Gorkov Green Function results of [72]. The associated effective mass is displayed in fig. 1(b, e) and compared to experimental data and theoretical results.

The pairing gap function parameterization is then assumed to be consistent with the result of [72] obtained at unitarity ( $\eta_\infty = 0.46$ ) as well as the BCS theory results. In the limit  $\lambda \ll 1$  (BCS regime) we have  $\eta_\lambda \sim (8/e^2) \exp(-\pi/2\lambda) \equiv \bar{\eta}_\lambda$  [62–64]. Therefore, we use the following parameterization for the pairing gap function:

$$\eta_\lambda = \frac{8}{e^2} \exp\left(-\frac{\pi}{2\lambda}\right) \times \frac{1 + \lambda y}{1 + \lambda y z}, \quad (17)$$

where  $z \equiv \bar{\eta}_\infty/\eta_\infty$  and the free parameter  $y$  is set arbitrarily to  $y = 4/5$  in order to reproduce *ab initio* calculations, see fig. 1(c, f). Note that this parameterization of the pairing gap function under a Padé approximation is *empirical* (but suggested by the parameterization of the ground-state energy) and, as far as we know, remains to be validated from the ground. The parameterization of the ground state energy, the effective mass and the pairing gap function as defined above will be denoted by APS[ $x, y, z$ ] and we chose the values  $x \simeq -0.75$ ,  $y = 4/5$ , and  $z = (8/e^2)/0.46$  in this work.

We have obtained the  $\lambda$ -dependence of the physical quantities: the ground-state energy  $\xi_\lambda$  or equivalently the chemical potential  $\zeta_\lambda$ , the associated effective mass  $\alpha_\lambda$ , and the pairing gap function  $\eta_\lambda$ . Thus, it allows us to determine the HFB parameters  $b_\lambda$  and  $c_\lambda$  using the perturbative approximation given by eq. (13). In fig. 2 we display relative error between results provided by the expansion (13) and exact results of eq. (12). We observe that close to the unitary regime, the quality of the approximation provided by eq. (13) decreases. This is due to the fact that, close to the unitary limit, the perturbative parameter  $\eta_\lambda/\alpha_\lambda \simeq 0.6$  becomes large. However, we can add more terms in the expansions to improve systematically the results. From practical point of view, extraction of the HFB parameters with the relative error below 1% is sufficient.

<sup>2</sup> The exact value reads:

$$\xi_\infty = 1 - \frac{16}{3\pi} \arctan\left(\frac{175\pi/144}{11 - 2 \ln 2}\right) \simeq 0.358.$$

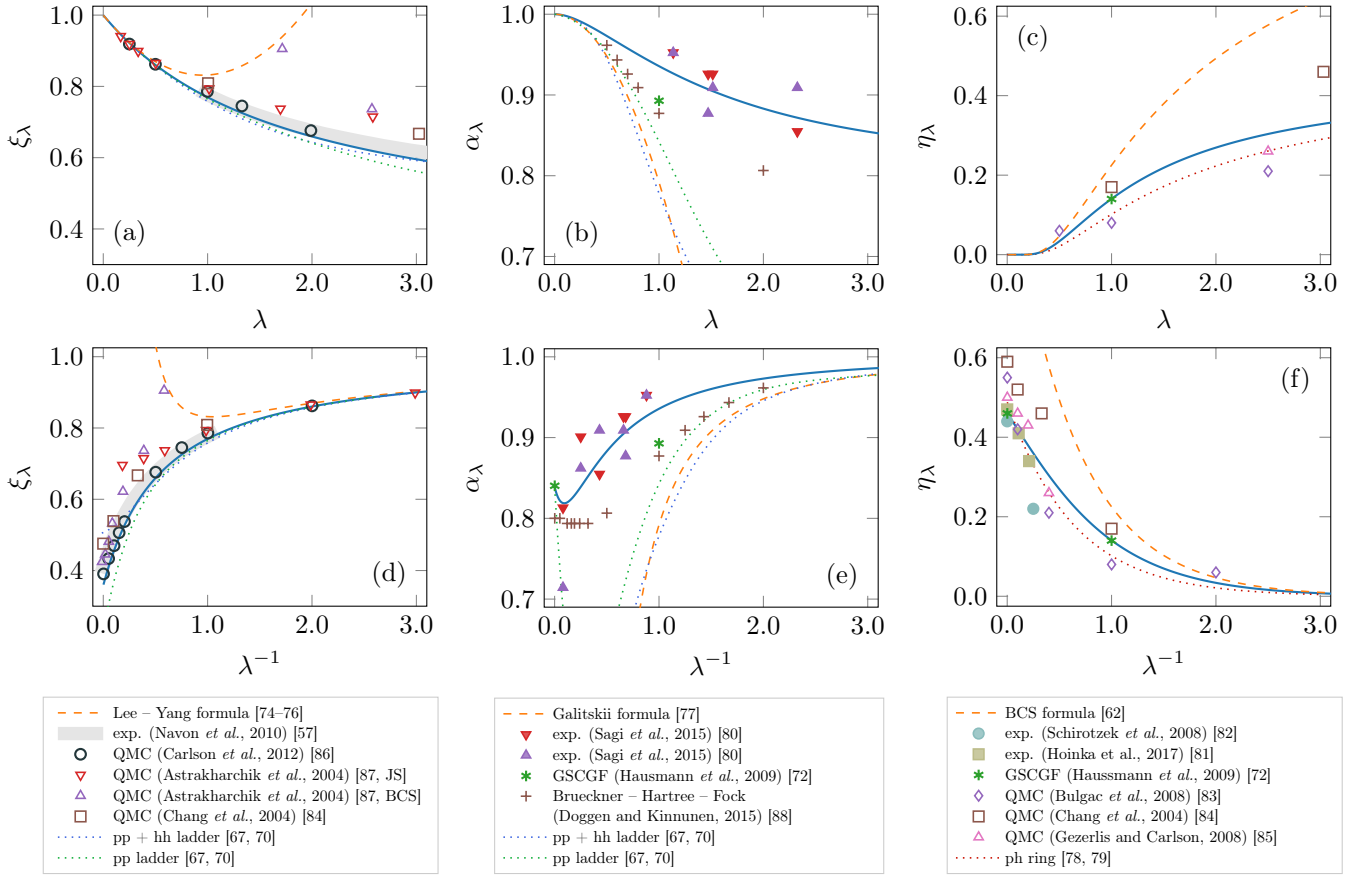


FIG. 1. Dimensionless ground-state energy [first column (a, d)], effective mass [second column (b, e)], and pairing gap function [third column (c, f)] for spin-symmetric infinite dilute systems of identical fermions with negative/attractive  $s$ -wave scattering length at zero-temperature as a function of  $\lambda$  [first row (a, b, c)] and  $\lambda^{-1}$  [second row (d, e, f)]. The blue solid line correspond to our parametrization of the functional defined by eq. (4): the ground-state energy and the effective mass correspond to the APS functional [70] by setting  $x \simeq -0.75$  adjusted to reproduce the result of [72, green asterisks] at unitarity, and the pairing gap function is given by eq. (17). For reference, we display the second order MBPT results, known as the Lee – Yang [74–76] and Galitskii formula [77] for the ground-state energy and the effective mass respectively, and the BCS equation [62] (orange dashed lines) as well as the ladder resummation of the particle-particle [67, 70] (green dotted lines), particle-particle and hole-hole [67, 70] (blue dotted lines), and particle-hole channels [78, 79] (red dotted line). We show also various experimental results from [80, triangles], [81, light green squares], [82, light blue circles], [57, grey area], QMC calculations [83, purple open diamonds], [84, brown open squares], [85, pink open up-triangles], [86, black open circles], [87, red open down-triangles (Jastrow – Slater trial wave function) and purple open up-triangles (BCS trial wave function)], and Brueckner – Hartree – Fock calculation [88, plus crosses].

The results for the functional parameters obtained from eq. (14) and the expansions given by eq. (13) are shown in fig. 3. Note that, numerically, we do not observe significant differences due to the fact that we apply (i) the truncated expansion given by eq. (14) or (ii) the full integration of the solution, using (a) the perturbative expansion of eq. (13) or (b) the exact numerical results. For reference, we provide in appendix B the weak limit coupling of the functional up to second order in  $\lambda$ .

The systematically improvable expansion given by eq. (13) allows overcoming fitting procedure of numerical results obtained by solving eq. (10) or eq. (12). Actually, the results are strongly dependent on the ground-state energy, the effective mass, and the pairing gap function chosen to describe the system. In other words, this work

depends only on the precision with which the physical quantities can be extracted from experiment and/or theoretical calculations. Moreover, in this work, we discuss only the ultracold atomic gas, but the developed theory, as suggested by the quality of the DFT approach to describe many-body systems, can be extended to nuclear physics, condensed matter, quantum chemistry, etc. For the systems of interest, the proposed method requires only the knowledge of the quasi-particle properties as a function of the density of the associated homogeneous infinite systems at zero-temperature.

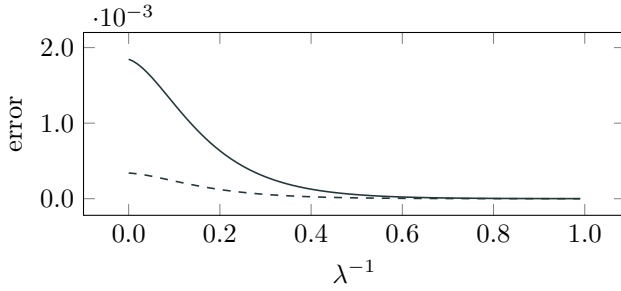


FIG. 2. Numerical error using perturbative solutions given by eq. (13) in eq. (12) as a function of  $\lambda^{-1}$ . The solid and the dashed lines show the absolute difference of the RHS and LHS of eq. (12a) and eq. (12b) respectively. Note that the perturbative expansion becomes less accurate, but still very reasonable, close to the unitary regime because  $\eta_\infty/\alpha_\infty \sim 0.6$ .

### C. Towards an EFT formulation of the DFT?

The expansion of the functional parameters in terms of microscopic quantities (and their derivatives with respect to the density), can be considered as the first step towards an EFT formulation [89] of the DFT [63, 90–93]. Actually, starting from a microscopic point of view, the knowledge of the quasi-particle properties of the system can be used to obtain a universal systematic expansion of the functional parameters, that is to say, the coupling constant of the effective Hamiltonian. In other words, starting from a single-particle picture, the coupling constant for the low-energy degrees of freedom, i.e. the classical density fields, have been obtained in a systematic improvable expansion and explicitly expressed through quasi-particle properties.

Essentially, the local DFT discussed here treats the energy-density at first order by neglecting cross-coupling between the classical fields of the theory, e.g. terms in  $\tau \cdot \nu$ ,  $\nu \cdot n$ , etc. at next-to-leading order. It could be interesting in future to consider such terms by using the standard perturbation techniques of EFT (many-body diagrams, Feynman rules, power counting, etc.) and/or introducing other collective fields allowing for spontaneous symmetry breaking of the ground states. Besides, we can enrich the resulting functional by considering higher order terms beyond the quadratic approximation of the single-particle energies assumed in this work.

The EFT formulation that we have presented is valid for uniform systems for which the DR associated to MS is sufficient to remove the UV divergences from the theory. However, while the regulated analytic form is very convenient, it does not allow to grasp simply the subtleties of the renormalization procedure. Besides, for finite systems, such regularization leads to numerical instabilities. In the next section, we discuss more precisely renormalizations in the case of non-uniform and finite systems. That will provide a proper identification of the low- and high-energy scales of the EFT expansion and will shed light on the formal aspects of the presented theory.

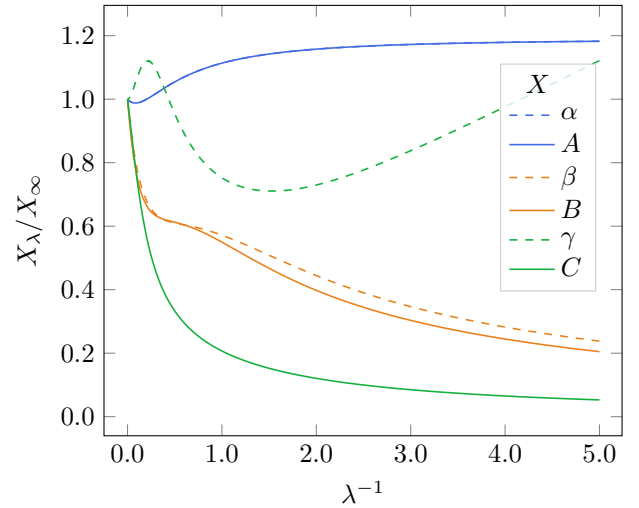


FIG. 3. Normalized functional parameters  $A_\lambda$  [blue (upper) solid line],  $B_\lambda$  [orange (middle) solid line], and  $C_\lambda$  [green (lower) solid line] obtained with eq. (14) and the approximation of eq. (13) as a function of  $\lambda^{-1}$ . Note that the exact results obtained by solving eq. (9) numerically provide indistinguishable results. The zero order approximations  $A_\lambda \sim \alpha_\lambda$ ,  $B_\lambda \sim \beta_\lambda$ , and  $C_\lambda^{-1} \sim \gamma_\lambda^{-1}$  are also displayed by the dashed lines.

## II. REGULARIZATION OF PAIRING FIELDS

At the formal level, densities  $\tau$  and  $\nu$  as defined by eq. (3b) and (3c) are divergent. For example, according BCS theory we have  $u_k v_k^* = \frac{\Delta}{2\sqrt{\varepsilon_k^2 + \Delta^2}}$ , and then

$$\nu = \int \frac{d\mathbf{k}}{(2\pi)^3} u_k v_k^* = \frac{1}{2\pi^2} \int_0^\infty \frac{k^2 \Delta dk}{2\sqrt{\varepsilon_k^2 + \Delta^2}} \rightarrow \infty, \quad (18)$$

since  $\varepsilon_k \sim k^2/2$ . On the other hand, the energy of the system must be finite, which means that in the combination  $A_\lambda \frac{\tau}{2} + \frac{C_\lambda}{n^{1/3}} |\nu|^2$  divergences cancel out (note that  $C_\lambda < 0$ ). Thus, the theory must be supplemented with prescription how to deal with the divergences.

The regularization of the BdG equations relies on the link between the coupling constant  $C_\lambda/A_\lambda n^{1/3} \rightarrow g$  appearing in eq. (4) and the vacuum two-body  $s$ -wave scattering length  $a_s$  through the spherical integral renormalization scheme

$$\frac{1}{4\pi a_s} = \frac{1}{g} + \frac{1}{4\pi^2} \mathcal{P} \int \frac{k^2 dk}{e_k}, \quad (19)$$

where  $\mathcal{P}$  denote the Cauchy principal value,  $e_k = k^2/2$  are the un-shifted single-particle energies, and  $i0 \rightarrow i0^+$  to assure the integral converges (cf. Feynman prescription). Note that formally, it is not the regularization of the BdG equations but the regularization of contact interaction, i.e. even in MBPT for dilute Fermi system, this regularization is required due to the UV divergence of the scattering particle-particle loop amplitude in the



vacuum, see also appendix C. Thus, this regularization is only valid in the vacuum due to the standard scattering theory starting from the bare Lagrangian. The difficulty arises from the fact that we start from an effective density-dependent or HFB Lagrangian. Consequently, this renormalization scheme becomes obsolete and the regularization must be performed *in-medium*. The first attempt, and as far as we know, the only approach used in that context, was developed by Bulgac et al. [33, 42–44]. Let us briefly present the strategy. Guided by eq. (19), it was suggested to replace the coupling constant by a regularized one in order to define the pairing gap function  $g^{-1} \rightarrow n^{1/3}/C_\lambda^{\text{reg.}}$ , and to make the replacement:  $(4\pi a_s)^{-1} \rightarrow n^{1/3}/C_\lambda$  using the density coupling constants defined above. Consequently, replacing the free single-particle energies  $e_k$  of eq. (19) by the density-dependent single-particle energies  $e_k \rightarrow \varepsilon_k$ , we obtain the following renormalization scheme [see appendix C for explicit derivation in EFT picture]:

$$\frac{n^{1/3}}{C_\lambda} = \frac{n^{1/3}}{C_\lambda^{\text{reg.}}} + \frac{1}{4\pi^2} \mathcal{P} \int \frac{k^2 dk}{\alpha_\lambda k^2/2 + b_\lambda \varepsilon_F} \quad (20)$$

where  $C_\lambda^{\text{reg.}}$  is the regularized density dependent coupling constant used in numerical calculations. The integral is then computed using spherical cutoff  $\int \rightarrow \int_0^{k_c}$ . Then, the BdG densities must be computed with the consistent cutoff, i.e. the summation in eq. (3) are performed over the single-particle states with eigenvalues  $E_n < E_c \approx k_c^2/2$ . Consequently, the functional parameter  $C_\lambda$  obtained in the previous section within DR + MS procedure is used during the regularization process only. Namely, the renormalized coupling constants are used when we solve the numerical BdG equations that define in particular the pairing gap function as  $\Delta = -\frac{C_\lambda^{\text{reg.}}}{n^{1/3}} \nu_c$  where subscript  $c$  indicates that the density is calculated with the energy cutoff, i.e.  $\sum_{E_n > 0} \rightarrow \sum_{E_c > E_n > 0}$ .

### A. Results and comparisons

The constructed functional has been implemented within *W-SLDA Toolkit* [45, 46]. The implementation is released as open-source via web page [94]. We have solved numerically the BdG equations using the methods proposed in this work for spin symmetric homogeneous systems at zero-temperature for several values of the density-dependent coupling constant  $\lambda$ . The solver executes computation in 3-dimensional space, on a spatial grid of size  $N^3$ , with lattice spacing  $dx$ , which introduces natural energy cut-off scale  $E_c = \frac{\pi^2}{2mdx^2}$ . The resulting ground-state energy and pairing gap function are shown in fig. 4 [blue filled circle]. Note that numerical solution provided by the derived functional and supplemented with the regularization procedure reveals results in satisfactory agreement with the initial APS parametrization. The discrepancies of the pairing gap

function (and the chemical potential) close to the unitarity with respect to the analytic results that we used for inducing the functional parameters [black dashed line] can be seen. They reflect systematic errors introduced by the computation process in discretized space as well as by truncations applied in formulas (13) and (14). The comparison provides a stringent test for the presented method: the functional parameters are generated by analytical formulas derived for continuum space and infinite cut-off energy, while the opposite process finds the self-consistent solution of eqs (2) for discrete space and for finite energy cut-off. Since the ground-state energy is well reproduced within the whole range from weak coupling to unitarity limit [see fig. 4(a-b)], the thermodynamic properties of the Fermi gas at zero-temperature as well as the universal Tan’s contact parameter [41, 95–97] are consequently in good agreement with experimental observations (see [73] for more detailed discussions).

### B. Identification of scales and discussion

Starting from eq. (3), we can show that, for large  $k_c \gg k_F$ ,  $\tau \sim (\Delta/A_\lambda)^2 k_c/\pi^2$  and  $\nu \sim (\Delta/A_\lambda) k_c/(2\pi^2)$ . The renormalized pairing coupling constant is consistent with these results since  $C_\lambda/n^{1/3} \sim -2\pi^2 A_\lambda/k_c$ . In particular, using the definition of the pairing gap function  $\Delta = -C_\lambda/n^{1/3}\nu$ , we have

$$\frac{C_\lambda}{A_\lambda n^{1/3}} |\nu|^2 = -\frac{\Delta}{\varepsilon_F^*} \nu^* \varepsilon_F, \quad (21)$$

where we recognize the expansion parameter  $x_\lambda \equiv \eta_\lambda/A_\lambda = \Delta/\varepsilon_F^*$  with  $\varepsilon_F^* \equiv A_\lambda \varepsilon_F$  used in eq. (13). Thus, when looking at the problem from EFT perspective we can identify  $\Delta$  as the low-energy scale and  $\varepsilon_F^*$  as the high-energy scale.

Consequently, invoking only local pairing field in the description is justified when  $\Delta/\varepsilon_F^*$  is small. This result is reminiscent of the pioneering work of Furnstahl, Hammer and Puglia [63] who used  $\Delta/\varepsilon_F$  as an expansion parameter in their EFT for weak/dilute interacting systems. Here, we have generalized this approach by including quasi-particle properties. From this EFT perspective, the leading order of the theory is equivalent to the BdG functional

$$\mathcal{E}_{\text{BdG}} = A_\lambda \left( \frac{\tau}{2} - x_\lambda \nu^* \varepsilon_F \right) + \mathcal{O}(x_\lambda^2). \quad (22a)$$

On the other hand, in the strong limit coupling, the pairing gap function is finite so that  $x_\lambda = \mathcal{O}(1)$ . In the SLDA functional the higher order corrections are modeled by terms related to  $B_\lambda$  parameter

$$\mathcal{E}_{\text{SLDA}} = A_\infty \left( \frac{\tau}{2} - x_\infty \nu^* \varepsilon_F \right) + \frac{3}{5} B_\infty n \varepsilon_F, \quad (22b)$$

which implicitly depends on higher powers of  $x$ , i.e.  $B_\infty \sim \mathcal{O}(x_\infty^k)$ . It is clear that  $B_\lambda$  is the only remaining parameter which should be expressed as a series in



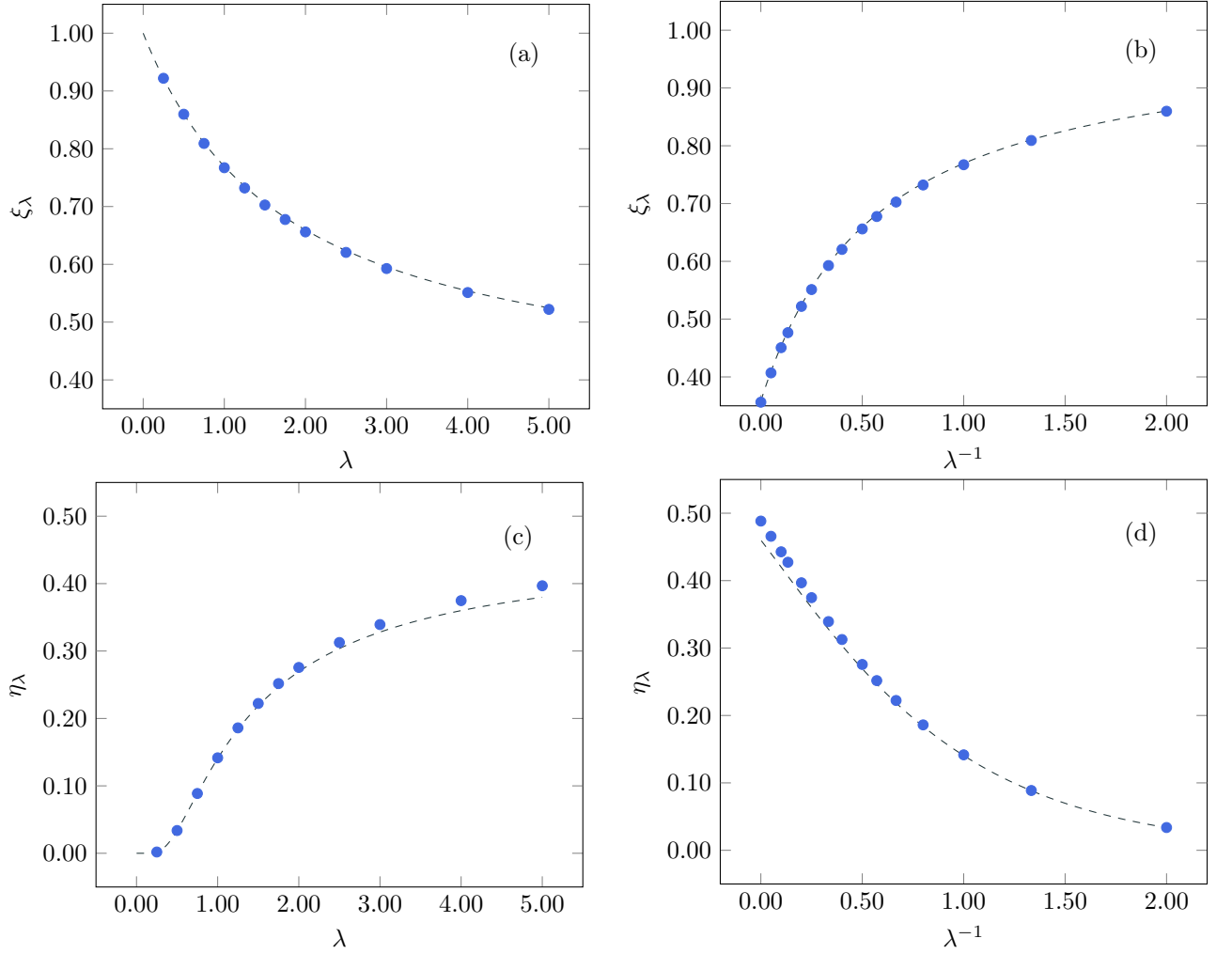


FIG. 4. Dimensionless ground-state energy [resp. pairing gap function] as a function of  $\lambda$  (a) [resp. (c)] and of  $\lambda^{-1}$  (b) [resp. (d)] obtained by solving numerically the BdG equations associated to the constructed functional together with the regularization scheme defined by eq. (20) [blue filled circle]. The computation was executed on a spatial mesh of size  $80^3$  with lattice spacing  $dx = 1$ , and density was set to satisfy  $k_F = 1$ . The dashed black line corresponds to the analytical parametrization of the APS[ $x, y, z$ ] functional that was used as the input for the induction procedure.

$x_\lambda$  to have a proper EFT formulation. To fulfill this requirement, we make use of the chain rule of derivatives,  $d/d\lambda = dx_\lambda/d\lambda \times d/dx_\lambda$ , in eq. (14). Note also that the term proportional to  $B_\lambda$  quantifies difference between the BdG functional (mean field) and the full functional. Thus, it may be treated as an analogue of the so-called exchange-correlation term, widely discussed in standard DFT. In general the  $B_\lambda$  does not vanish in the limit  $x_\lambda \rightarrow 0$  (transition to normal state), and then it models interaction effects that are not related to the pairing.

Once the divergencies have been removed one may still wonder if all the cutoff dependencies are eliminated from the theory. Actually, in a proper EFT, the energy density, and consequently the observables, must be independent of the cutoff momentum  $k_c$  introduced to regularize the pairing coupling constant. We start by fixing the cutoff momentum and then we evaluate the density  $n$  with

eq. (10a) such that we can introduce the Fermi momentum  $k_F$ , the density-dependent coupling constant  $\lambda$ , and the associated Fermi energy  $\varepsilon_F$ . Subsequently we define the energy density of the associated non-interacting system  $\mathcal{E}_0 = 3n\varepsilon_F/5$ , and using the BCS results, we can show that

$$A_\lambda \left( \frac{\tau_c}{2} - x_\lambda \nu_c^* \varepsilon_F \right) = [\xi_\lambda - B_\lambda] \mathcal{E}_0, \quad (22c)$$

where  $\tau_c$  and  $\nu_c$  are the cutoff-dependent renormalized densities. Finally, we can conclude that the divergences have been properly canceled. In fig. 5 we display numerically obtained the energy, the pairing gap and the chemical potential dependence on the cutoff parameter  $k_c$ . We find that indeed the total energy does not exhibit significant cutoff dependence. However, other two observables admit residual cutoff dependence. This residual cutoff dependence is also present in original SLDA functional

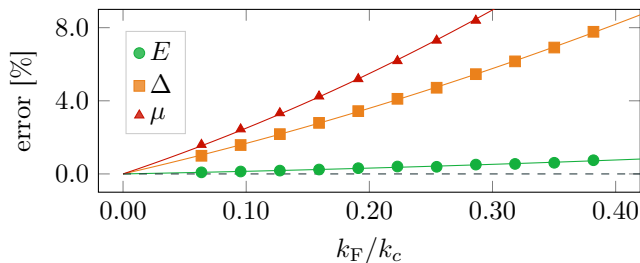


FIG. 5. Relative numerical error of the ground state energy [green filled circle], the pairing gap function [orange filled square], and the chemical potential [red filled triangle] as a function of  $k_F/k_c$  obtained by solving the BdG equations with the *W-SLDA Toolkit* and constructed here functional at unitarity.

and its origin requires further investigation in future. We emphasize that the discrepancy between obtained and expected result vanish at zero density ( $k_F \rightarrow 0$ ), which is formally equivalent to the infinite cutoff limit.

To conclude, the formal development presented in this article allows us to go further in the future studies by using EFT perturbative techniques, i.e. many-body Feynman diagrams associated with the identification of a power counting, to enrich the functional by including higher-order terms in the energy-density, e.g. at the second order, we expect terms as  $(\Delta^* \nu)^2$ . Moreover, further development could include higher orders in the gradient expansion and the effective range effects [68, 73, 85, 98–102], i.e. beyond quadratic approximation of single-particle energies, generalize the BCS quasi-particle dispersion relation, consider spin-imbalance systems, etc. Despite the recent and impressive theoretical developments in Self-Consistent Green Functions (SCGF) techniques [72, 103–110] or QMC approach of the many-body problems [83–85, 87, 111] as well as experimental works [80–82, 112–114], precise constraints on the quasi-particle properties are still limited. We still lack a general framework that allow for systematically improvable form of such quantities starting from the bare interaction. Among recent attempts to obtain a DFT from first principle, one can refer to the EFT for dilute Fermi gas [63, 93, 115–120], the bilocal Legendre transforms techniques [90, 121–123], the DFT driven by *ab initio* calculations [70, 124] which did not lead to satisfying results [125, 126]. On the other hand, the SLDA have proven to provide the formidable precision in the description of strongly correlated Fermi gases, despite its astonishing simplicity. Nevertheless, the use of the standard SLDA so far was limited to the unitary regime which makes it sometimes difficult to compare directly with the experiments. As we will discuss in the next section, the DFT proposed in this work has the potential to reconcile the theoretical simulations and experimental results in ultracold atomic physics.

### III. APPLICATIONS

In the previous sections, we have introduced a new methodology to construct systematically a SLDA-like functional from the density-dependent quasi-particle properties (the chemical potential, the effective mass, and the pairing gap function) only. We have focused on diluted Fermi systems for which we have (i) introduced the APS[ $x, y, z$ ] parametrization of the functional in section IB, (ii) truncated the expansions defined by eq. (13) up to  $n = 8$ , (iii) applied the approximations of eq. (14), and (iv) used the regularization scheme for the pairing field in section II. Altogether, this DFT will be called SLDAE for *SLDA Extended*. To illustrate the possibilities that are offered by our approach, we provide some applications of the SLDAE functional. The implementation of SLDAE functional is publicly accessible via *W-SLDA Toolkit* [94]. In the Supplementary Material [127] we provide detailed information about the computation process.

#### A. Phase diagram and critical temperature

As a first application we provide the phase diagram produced by the SLDAE functional. Namely, the value of the pairing gap within the  $(T/T_F, \lambda)$  space (where  $T_F = \varepsilon_F$  is the Fermi temperature), has been shown in fig. 6. In BCS theory, we can show that the ratio of the pairing gap function with the critical temperature is the universal number  $\Delta(T=0)/T_c^{\text{BCS}} = \pi/e^\gamma \simeq 1.764$  where  $\gamma$  is the Euler constant. The calculations provide a superfluid critical temperature [white solid line] above the BCS theory result [white dashed line]. The first one is defined as temperature at which  $\Delta$  reaches zero, while the  $T_c^{\text{BCS}}$  we obtained through the formula but using the value of the self-consistent pairing gap obtained numerically from our SLDAE functional. The result suggests that the physical quantities can be expressed as an expansion of the ratio  $\Delta/\varepsilon_F^*$ . The SLDAE functional predictions follow the BCS-like self-consistent equations in such a way that universal relationships in BCS theory are fulfilled up to the first order in  $\Delta/\varepsilon_F^*$ . It is the case, in particular, for the critical temperature. Thus, using our EFT correspondence, we expect that the critical temperature can be approximated as

$$\frac{T_c}{T_F} \approx \frac{e^\gamma}{\pi} \frac{\Delta(T=0)}{\varepsilon_F^*} + \mathcal{O}\left(\frac{\Delta(T=0)}{\varepsilon_F^*}\right)^2, \quad (23a)$$

where the higher order terms are neglected in the expansion. Indeed, we observe reasonable accuracy of this formula when compared to numerical calculation for all values of the density-dependent coupling constant [left panel of fig. 6] One may also note that, close to the critical temperature, the pairing gap function is in a good agreement with the BCS asymptotic universal relation along the whole range of  $\lambda$  [dotted black line in the right

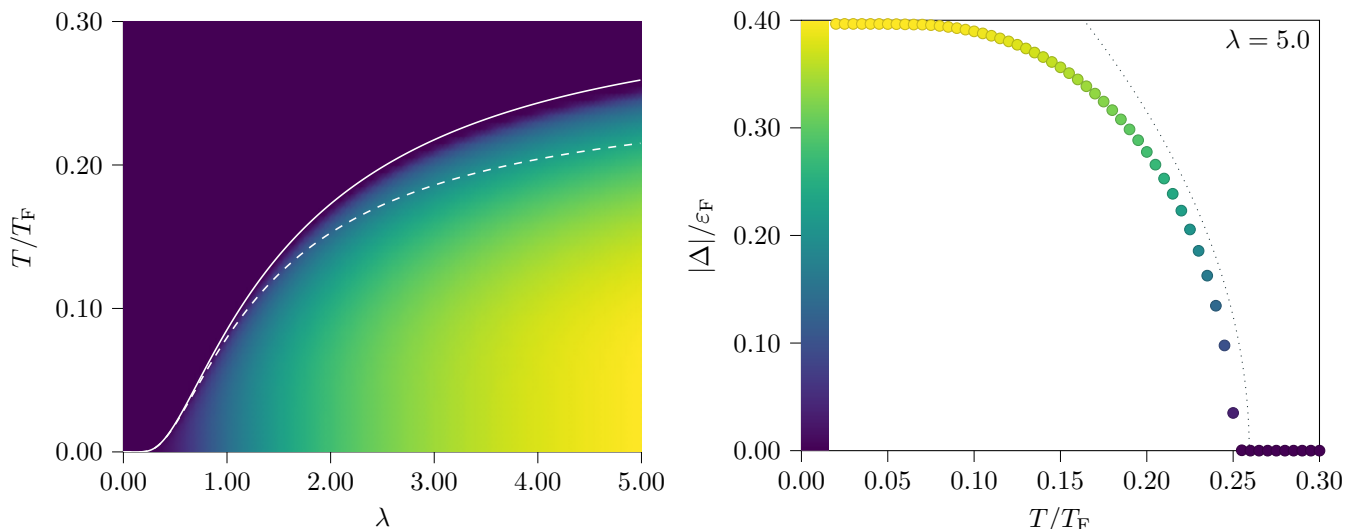


FIG. 6. Phase diagram obtained using the SLDAE functional. On the left: projection of the dimensionless pairing gap function on the  $(T/T_F, \lambda)$  plane. The dashed white line corresponds to the BCS critical temperature formula valid in the weak coupling regime,  $T_c^{\text{BCS}} = (e^{\gamma}/\pi)\Delta(T=0)$ . We observe that the numerically extracted critical temperature is in very good agreement with eq. (23a) [white solid line]. On the right: dimensionless pairing gap function at  $\lambda = 5.0$  as a function of the temperature. The black dotted line corresponds to the parametrization defined by eq. (23b) valid close to the critical temperature.

panel of fig. 6], i.e.

$$\frac{\Delta(T \sim T_c)}{\varepsilon_F^*} \sim \frac{T_c}{T_F} \sqrt{\frac{8\pi^2}{7\zeta(3)} \left(1 - \frac{T}{T_c}\right)}, \quad (23b)$$

where  $\zeta(s)$  is the Riemann zeta function.

Despite a systematic improvement of the physical quantities obtained through the expansion in  $\Delta/\varepsilon_F^*$ , it is well known that SLDA-like functionals overestimate the critical temperature observed in experiments and obtained in *ab initio* calculations (see table VI and VII of [128] for an extensive overview). The interpretation of this is that we neglect BMF effects, i.e. we assume that the pairing gap function is proportional to the anomalous density only. Thus, as mentioned, using the EFT formulation of the SLDA, further investigation could be made to go beyond finite-temperature HFB approximation by the use of perturbative techniques. Another strategy could be also to allow a temperature dependence of the density-dependent coupling constants appearing in the functional, in such a way that the critical temperature is well reproduced. All of these considerations are out of the scope of this work if we restrict our studies to systems at low-temperature for which we expect good reproduction of the physical properties. In the next section, as an example of applications of our developments, we discuss the static properties of superfluid vortices.

## B. Single superfluid vortex state properties

Recent observations of gravitational waves during the merger of neutron stars [129] have led to a resurgence

of interest during the last years on superfluid vortices. According to numerical simulations, during the fusion, one observes that a shear interface develops and involves Kelvin – Helmholtz instabilities forming a series of vortices [130–133] whose dynamic processes are still poorly understood. Besides, superfluid vortices are at the core of the superfluid property of the matter in general. To cite some selected examples of emergent phenomenon involving quantum vortices, we can mention the Abrikosov lattices [134, 135], the Onsager – Kolmogorov inverse energy cascade in quantum turbulence [136–139], the vortex reconnection process [140], the pulsar glitches [141, 142], etc. It turns out that experimental realizations of such systems in dilute ultracold Fermi systems are currently investigated [59]. One of the observations is the dissipation occurring during the collision of two vortices, even in purely superfluid state at low-temperature. This offers new opportunities to compare the theoretical understanding of the underlying processes with the observations. The development presented in this article can link easily with experiments in which the interaction can be fine-tuned continuously. It is therefore appropriate and timely to study the properties of such topological defects in superfluid systems. Thus, we propose below to provide our predictive results on the static structure of superfluid vortices which constitute the first step towards fully large-scale dynamical simulations from BCS to unitary regimes to know if SLDA-like functional can quantify properly dissipation processes occurring in many-vortex systems.

In order to study the structural properties of such systems, we have first generalized the functional to the non-uniform case (see appendix D for details). We also have

added the current density terms enforcing the Galilean invariance of the SLDAE functional (see discussion in section I). Then, we had considered imprinted superfluid vortex, as usually done in the calculations made with the *W-SLDA Toolkit*, at the center of a tube-like trapping potential, periodic along the vortex line axis  $r = 0$ . Below we present our results on the typical scales of the systems of interest which are summarized in table II.

### 1. Energy scales of superfluid vortex

**The temperature** is a measure of the typical thermal excitation energy of the systems. The temperature of the quasi-particle states is set to  $T = 0.05 T_F$  (typical temperature accessible in experiments) such that superfluid component of the gas vanish for  $\lambda \lesssim 1$ . In the following, the temperature dependence of quantities will be implicit.

**The pairing gap** is the minimal energy of the quasi-particles in absence of topological defects. The pairing gap at the center of the vortex becomes zero. The finite temperature effect does not affect this property as showed on fig. 8(b) where  $|\Delta(r = 0)/\Delta_0| \ll 1$  with  $\Delta_0$  denoting the bulk pairing gap function.

**The mini-gap energy** is the typical energy scale of Andreev states, i.e. the energy carried by the vortex core structure [35, 141, 143–147]. Localized states exist in the vortex core due to the Andreev reflections with energies below the gap energy  $E_{\text{m.g.}} < E < |\Delta_0|$ . Using our EFT correspondence with the BCS theory, we can define the mini-gap energy as follow:

$$\frac{E_{\text{m.g.}}}{\varepsilon_F} = \frac{1}{2} \left| \frac{\Delta_0}{\varepsilon_F^*} \right|^2. \quad (24)$$

The numerical results extracted from our simulations at various values of the density-dependent coupling constant [blue circle] for the mini-gap energy displayed in fig. 7(a) are in good agreement with this definition [green solid line]. We observe discrepancy close to unitarity that we interpreted as due to the fact that (i) we neglected higher order correction in  $|\Delta_0|/\varepsilon_F^*$  in eq. (24), (ii) to the EFT truncation used to design the SLDAE, and (iii) to the intrinsic errors induced by the regularization scheme.

### 2. Length scales of superfluid vortex

**The Fermi momentum** is the characteristic length associated to the variation of the density [see fig. 8(a)]. We set our calculations in such a way

that, for various density-dependent coupling constant  $\lambda$ , the Fermi momentum  $k_F$  is obtained from the bulk density  $n_0 = k_F^3/3\pi^2$ . As shown in fig. 8(a) and table II, the density at the center of the vortex line,  $n_v$ , reaches the bulk value in the weak coupling regime. In particular, below  $\lambda \lesssim 1$ , that is to say close to the critical temperature, the vortex vanish identically.

**The coherence length** is the characteristic length of the pairing gap variation [see figure fig. 8(b)]. As we have proved above, our EFT correspondence provides a good parameterization of the physical quantities. Following the same idea, we define the coherence length of the system as

$$l_c = \frac{2\varepsilon_F^*}{\pi k_F |\Delta_0|} \quad (25)$$

and compared to the BCS theory result in fig. 7(b). Note that the difference between our EFT correspondence and the BCS results are due to the effective mass term. Strictly speaking, considering  $m^* > m$  leads to a smaller Cooper pair size characterized by the coherence length.

**The vortex core radius** is the characteristic length scale for the superflow variation [see fig. 8(c)]. Finally, we define the vortex core radius when the current density reaches its maximum, i.e.  $|\mathbf{j}(r_v)| \equiv \max |\mathbf{j}(r)|$ . Guided by the so-called Ginzburg – Landau theory of phase transitions [148–153], we argue that the ratio between the coherence length with the radius of the vortex core (similar to the penetration depth of superconductor in case of superfluid vortex)  $\kappa \equiv r_v/l_c$ , known as the Ginzburg – Landau parameter, is temperature-independent, i.e. the system is scale-invariant at the phase transition. For instance,  $0 < \kappa < 1/\sqrt{2}$  corresponds to type I superconductors while  $1/\sqrt{2} < \kappa$  corresponds to type II superconductors. It turns out the equation

$$\kappa \equiv \frac{r_v}{l_c} \simeq \frac{1}{4} \frac{T_F}{T_c} \quad (26)$$

provides an accurate approximation of the numerical results [blue circle] when  $E_{\text{m.g.}} \gtrsim T$  as showed in fig. 7(c) [green solid line]. Further careful investigations are envisioned to conclude about the validity of our approximation in a realistic range of low-temperature.

The main conclusion that we can extract from our calculations is that typically the BCS-type formulas work well, once the EFT correspondence is applied, i.e.  $\Delta/\varepsilon_F \rightarrow \Delta/\varepsilon_F^*$ . The SLDAE functional aims to be accurate at quantitative level for all values of  $\lambda$  (at low temperatures), and provided above results are the first step towards its validation. In particular we demonstrate that

TABLE II. Properties of superfluid vortices at  $T = 0.05 T_F$  for selected value of the  $s$ -wave scattering length obtained using the SLDAE functional. The length scales of the vortex state (density at the center of the vortex core  $n_v$  according to the bulk density  $n_0 = k_F^3/3\pi^2$ , the coherence length  $l_c$  obtained with eq. (25), and the vortex core radius  $r_v$ ) are given by the first block, and the energy scales (the pairing gap in the bulk  $\Delta_0$ , the mini-gap energy  $E_{m.g.}$ , and the critical temperature  $T_c$ ) are provided by the second block. The error bars for the vortex core radius  $r_v$  are due to lattice spacing uncertainty.

$\lambda$		1.00	1.50	2.00	2.50	3.33	5.00	10.0	20.0	50.0	$\infty$
$n_v$	$[n_0]$	0.963	0.849	0.718	0.623	0.524	0.427	0.337	0.296	0.274	0.262
$l_c$	$[k_F^{-1}]$	5.519	2.874	2.241	1.950	1.706	1.503	1.342	1.282	1.253	1.238
$r_v$	$[k_F^{-1}]$	9.4(1)	3.7(1)	2.5(1)	2.1(1)	1.7(1)	1.4(1)	1.2(1)	1.1(1)	1.0(1)	1.0(1)
$ \Delta_0 $	$[\varepsilon_F]$	0.108	0.201	0.251	0.283	0.317	0.351	0.388	0.408	0.422	0.431
$E_{m.g.}$	$[\varepsilon_F]$	0.009	0.018	0.034	0.048	0.066	0.087	0.112	0.127	0.137	0.144
$T_c$	$[T_F]$	0.085	0.137	0.173	0.199	0.227	0.259	0.291	0.304	0.309	0.311

in experimentally realizable BCS regime ( $\lambda^{-1} \approx 0.3$  and  $T \approx 0.05 T_F$ ) we have  $E_{m.g.} \approx T$ , which means that the impact of thermal effects cannot be neglected when analyzing dynamics of the vortex. This implies that in this regime, additional dissipation processes due to a non-vanishing shear viscosity are at play [154] due to thermal excitation of the Andreev's states leading to an increasing of the vortex core radius as observed in fig. 7(c). On the strong interacting side, thermal effects are negligible and we expect to be able to study quantitatively dynamical processes causing the observed dissipation in the future time-dependent extension of the functional (work in progress).

#### IV. CONCLUSION

In this work, we have developed a systematic extension of the SLDA from EFT perspective, valid from the BCS regime to the unitarity implying the bare density-dependent coupling constant  $\lambda = |a_s k_F|$  only. Starting from the quasi-particle properties extracted from *ab initio* calculations and/or experiments at zero-temperature for the associated homogeneous dilute system, the general solution of the BCS equations are given as an expansion in  $\Delta/\varepsilon_F^*$  allowing us to deduce the functional parameters entering into the local DFT. The clear advantage of this strategy consists to make the fitting procedure of functional parameters unnecessary and rendering this approach applicable to a large range of systems under the same parameterization relying on selected physical quantities. Also, relying on a EFT picture, we have identified  $\Delta/\varepsilon_F^*$  as been the proper parameter of the EFT expansion for SLDA-like DFT.

This success allowed one to use standard local density approximation, i.e. admit a spatial dependence of the densities, to study non-uniform systems. We have then applied our SLDAE functional through the numerical resolution of local generalized BdG equations. Calculations of static properties of superfluid quantum vortices for several values of the  $s$ -wave scattering length have been carried out in order to facilitate discussions, for instance, with groups doing experiments aiming to emphasize dis-

sipation processes in many-vortex systems. These results should help to interpret future simulations on dynamical processes involving vortices. Moreover, the ongoing implementation of the SLDAE functional in time-dependent variant promises to be a powerful tool to study the collective behavior of superfluid systems (linear response, Higgs mode, quantum quenches, etc.).

For possible future developments, we argue that our formulation allows to consider BMF effects into the functional using the standard perturbation methods which will lead to generalized self-consistent BdG equations. We would like to finally address a message to the *ab initio* and EFT communities to improve further the approach presented in this article. First of all, the developed method depends strongly on the quality of the density-dependent quasi-particle properties of the systems considered as, for example, the effective mass and the pairing gap functions. Consequently, high accuracy of such quantities is required to parametrize properly the functional. Then, the lack of an EFT framework providing a proper description of many-body systems from first principles, i.e. starting from the bare Hamiltonian only, limit opportunities for further developments in both directions. Despite the recent attempts in the developments towards an *ab initio* formulation of the DFT mentioned above, such formulations did not reach sufficient maturity in terms of predictive power to be reliable guides. Recently, in paper [155] it was shown that an approach to the functional design based on “constraint satisfaction” is a necessary ingredient in the process of constructing a highly accurate energy density functionals. In this respect maintaining by new functionals close relation to underlying *ab initio* approaches and analytical results is desirable.

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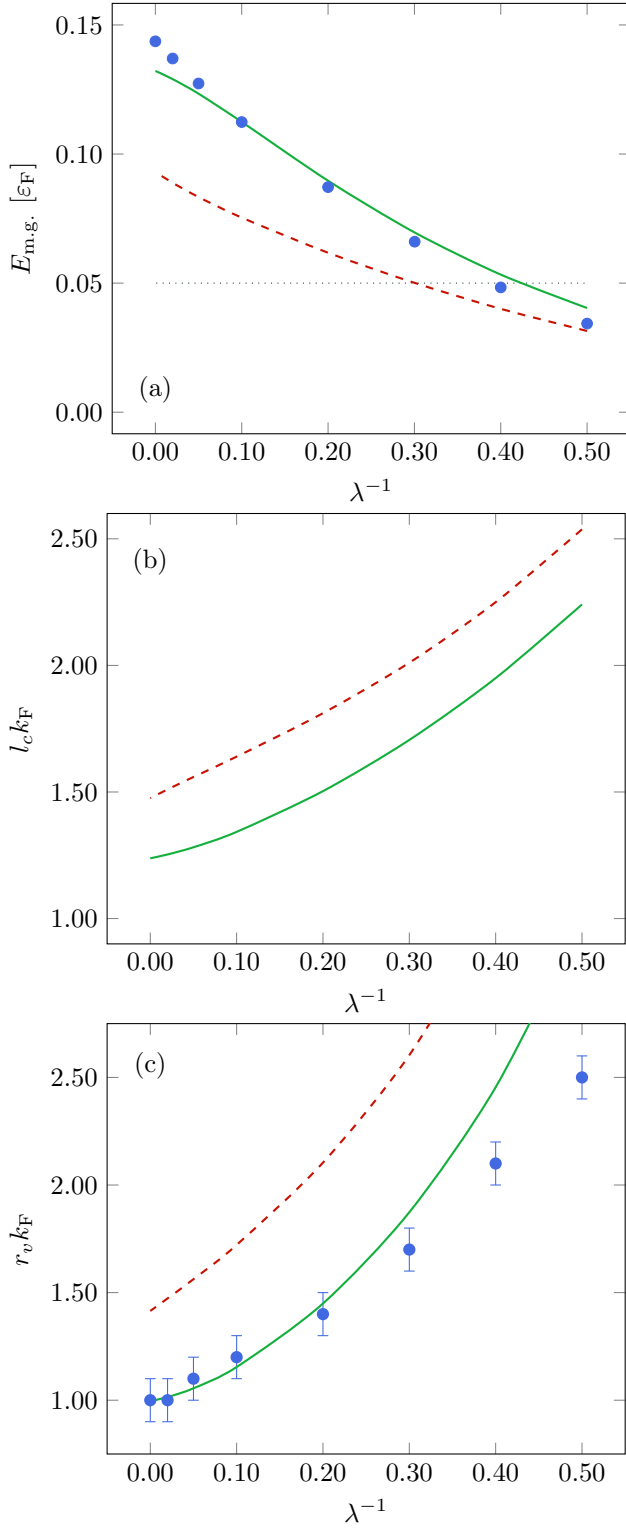


FIG. 7. Mini-gap energy (a), coherence length (b), and vortex core radius (c) for a single vortex obtained using the SLDAE functional at temperature  $T = 0.05 T_F$  as a function of  $\lambda^{-1}$  [blue filled circle]. The error bars for the vortex core radius  $r_v$  are due to lattice spacing uncertainty. For comparison, the red dashed line correspond to the BCS result and the green solid line correspond to the associated one using our EFT correspondence defined by eqs. (24) to (26) respectively. We observe discrepancy according to the EFT correspondence at  $\lambda^{-1} \gtrsim 0.3$  for the vortex core radius since the temperature [represented by the dotted black line in (a)] is above or close to the mini-gap energy.

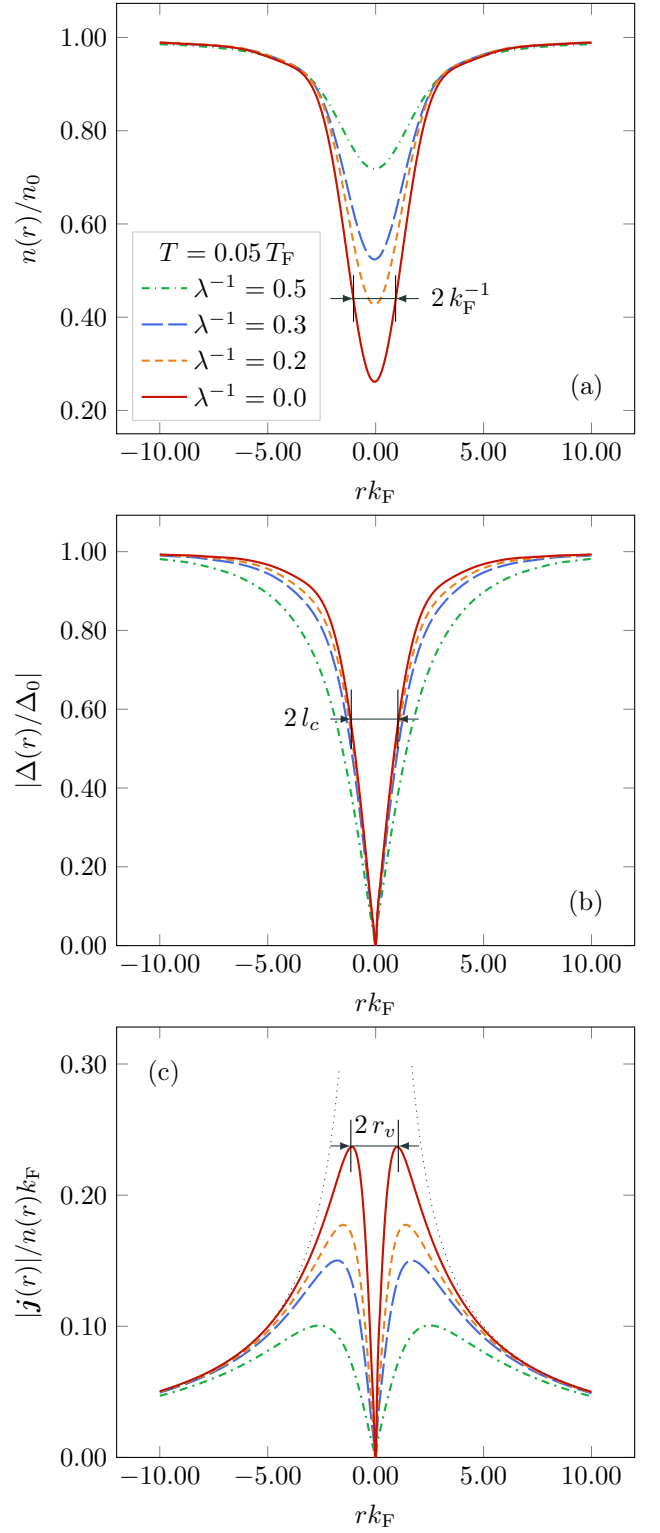


FIG. 8. Density profiles of a single vortex at  $T = 0.05 T_F$  for several value of the density coupling constant  $\lambda$ : (a) normal density, (b) pairing gap function, and (c) current density. The arrows show length scales of the system at unitarity: the inverse Fermi momentum, the coherence length, and the vortex core radius. For reference, the superfluid velocity  $v_s = 1/2r$  is displayed [dotted black line].

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### Appendix A: Approximation of Legendre functions

In this appendix, we provide accurate approximation of eq. (12) for  $0 < t < |s|$  obtained from expansions of the Legendre functions  $P_l(z)$  for  $l = n/2$  with  $n \in \mathbb{N}$ . First, we define  $\bar{I}_l(t/|s|) = I_l(s < 0, t)/|s|^l$  and up to  $\mathcal{O}(t^6/s^6)$ , i.e. around the logarithmic singularity, we obtain the following:

---


$$\bar{I}_l(u) = \left[ 2 - \frac{lu^2}{2}(l-1) + \frac{lu^4}{32}(l-3)(l-2)(l-1) \right] \left[ H_l + \ln\left(\frac{u}{2}\right) \right] - \frac{u^2}{2}(1-l-l^2) + \frac{u^4}{32} \left[ 6 - 13l + \frac{3l^2}{2} + 5l^3 - \frac{3l^4}{2} \right],$$


---

where  $H_l$  denotes the harmonic numbers:  $H_{1/2} = 2 - 2\ln 2$ ,  $H_{3/2} = 8/3 - 2\ln 2$ ,  $H_{5/2} = 46/15 - 2\ln 2$ , etc. Using this approximation, we can solve eq. (12) with the expansions given in eq. (13) leading to  $\mathcal{B}_{2n+1}(x) = \mathcal{C}_{2n+1}(x) = 0$  and

$$\mathcal{B}_0(x) = -1,$$

$$\mathcal{B}_2(x) = -\frac{x}{4} + \frac{1}{8} + \frac{3\ln 2}{4},$$

$$\mathcal{B}_4(x) = \frac{3x^2}{64} + \frac{5x}{128} - \frac{9x\ln 2}{32} + \frac{7}{512} + \frac{27\ln^2 2}{64} - \frac{15\ln 2}{128},$$

$$\mathcal{B}_6(x) = -\frac{7x^3}{384} + \frac{21x^2\ln 2}{128} - \frac{37x^2}{1024} - \frac{69x}{4096} - \frac{63x\ln^2 2}{128} + \frac{111x\ln 2}{512} + \frac{209}{24576} + \frac{63\ln^3 2}{128} - \frac{333\ln^2 2}{1024} + \frac{207\ln 2}{4096},$$

$$\mathcal{B}_8(x) = \frac{55x^4}{6144} + \frac{43x^3}{1536} - \frac{55x^3\ln 2}{512} + \frac{1395x^2}{65536} + \frac{495x^2\ln^2 2}{1024} - \frac{129x^2\ln 2}{512} - \frac{2141x}{393216} - \frac{495x\ln^3 2}{512} + \frac{387x\ln^2 2}{512} - \frac{4185x\ln 2}{32768} + \frac{8657}{3145728} + \frac{1485\ln^4 2}{2048} - \frac{387\ln^3 2}{512} + \frac{12555\ln^2 2}{65536} + \frac{2141\ln 2}{131072},$$

$$\mathcal{C}_0(x) = \frac{x}{4} + \frac{1}{2} - \frac{3\ln 2}{4},$$

---


$$\mathcal{C}_2(x) = \frac{x^2}{32} - \frac{3x}{16}\ln 2 + \frac{1}{64} + \frac{9\ln^2 2}{32},$$

$$\mathcal{C}_4(x) = -\frac{x^3}{128} + \frac{9x^2\ln 2}{128} - \frac{13x^2}{1024} - \frac{9x}{1024} - \frac{27x\ln^2 2}{128} + \frac{39x\ln 2}{512} - \frac{15}{8192} + \frac{27\ln^3 2}{128} - \frac{117\ln^2 2}{1024} + \frac{27\ln 2}{1024},$$

$$\mathcal{C}_6(x) = \frac{5x^4}{1536} + \frac{29x^3}{3072} - \frac{5x^3\ln 2}{128} + \frac{315x^2}{32768} + \frac{45x^2\ln^2 2}{256} - \frac{87x^2\ln 2}{1024} + \frac{385x}{196608} - \frac{45x\ln^3 2}{128} + \frac{261x\ln^2 2}{1024} - \frac{945x\ln 2}{16384} - \frac{701}{393216} + \frac{135\ln^4 2}{512} - \frac{261\ln^3 2}{1024} + \frac{2835\ln^2 2}{32768} - \frac{385\ln 2}{65536},$$



$$\begin{aligned}
\mathcal{C}_8(x) = & -\frac{27x^5}{16384} - \frac{111x^4}{16384} + \frac{405x^4 \ln 2}{16384} - \frac{1257x^3}{131072} \\
& - \frac{1215x^3 \ln^2 2}{8192} + \frac{333x^3 \ln 2}{4096} - \frac{3695x^2}{1048576} \\
& + \frac{3645x^2 \ln^3 2}{8192} - \frac{2997x^2 \ln^2 2}{8192} + \frac{11313x^2 \ln 2}{131072} \\
& + \frac{5251x}{4194304} - \frac{10935x \ln^4 2}{16384} + \frac{2997x \ln^3 2}{4096} \\
& - \frac{33939x \ln^2 2}{131072} + \frac{11085x \ln 2}{524288} - \frac{6223}{8388608} \\
& + \frac{6561 \ln^5 2}{16384} - \frac{8991 \ln^4 2}{16384} + \frac{33939 \ln^3 2}{131072} \\
& - \frac{33255 \ln^2 2}{1048576} - \frac{15753 \ln 2}{4194304}.
\end{aligned}$$

### Appendix B: Improved BdG functional

Following the strategy developed in this work, we propose to introduce an improved version of the BdG functional defined by eq. (22a). For this, we start with the general local functional form (4):

$$\mathcal{E} = \bar{A}_\lambda \frac{\tau}{2} + \frac{3}{5} \bar{B}_\lambda n \varepsilon_F + \frac{\bar{C}_\lambda}{n^{1/3}} |\nu|^2, \quad (\text{B1})$$

where the HFB, SLDA, and functional parameters, denoted with a bar, are defined using the weak coupling limit, i.e. MBPT for dilute Fermi systems, of the associated parameter of the functional developed in the main text, i.e.  $\xi_\lambda \rightarrow \bar{\xi}_\lambda \sim 1 + \mathcal{O}(\lambda)$ ,  $\zeta_\lambda \rightarrow \bar{\zeta}_\lambda \sim 1 + \mathcal{O}(\lambda)$ ,  $\alpha_\lambda = a_\lambda \rightarrow \bar{\alpha}_\lambda = \bar{a}_\lambda \sim 1 + \mathcal{O}(\lambda)$ , and  $\eta_\lambda \rightarrow \bar{\eta}_\lambda = (8/e^2) \exp(-\pi/2\lambda)$ .

Considering the first order of eq. (13), the HFB parameters are given by:

$$\frac{\bar{b}_\lambda}{\bar{a}_\lambda} = -1 + \mathcal{O}(\bar{x}_\lambda^2), \quad (\text{B2a})$$

$$\frac{\bar{a}_\lambda}{\bar{c}_\lambda} = -\frac{\pi}{8\lambda} - \frac{\ln \bar{\alpha}_\lambda}{4} + \mathcal{O}(\bar{x}_\lambda^2), \quad (\text{B2b})$$

with  $\bar{x}_\lambda \equiv \bar{\eta}_\lambda/\alpha_\lambda$ . Then the associated functional parameters are obtained using eq. (14). For instance, up to second order of MBPT for dilute Fermi gas, we have:

$$\bar{\xi}_\lambda = 1 + p_1 \lambda + p_2 \lambda^2 + \mathcal{O}(\lambda^3) \quad (\text{B3a})$$

$$\bar{\alpha}_\lambda = 1 + q_1 \lambda + q_2 \lambda^2 + \mathcal{O}(\lambda^3) \quad (\text{B3b})$$

with  $p_1 = -10/9\pi$ ,  $p_2 = 4(11 - 2 \ln 2)/21\pi^2$ ,  $q_1 = 0$ , and  $q_2 = 8(1 - 7 \ln 2)/15\pi^2$ . This leads using eq. (14), up to second order in  $\lambda$ , to the following functional parameters in DR + MS:

$$\bar{B}_\lambda = (p_1 - q_1)\lambda + (p_2 - q_2)\lambda^2, \quad (\text{B4a})$$

$$\frac{n^{1/3}}{\bar{C}_\lambda} = \frac{1}{4\pi a_s} \left[ 1 + q_1 \lambda + \left( \frac{2}{\pi} q_1 + q_1^2 - q_2 \right) \lambda^2 \right]. \quad (\text{B4b})$$

The functional obtained above corresponds to the weak coupling regime, i.e. the limit  $\lambda \rightarrow 0$ , of the main SLDAE functional designed in this work.

### Appendix C: Regularization of the contact interaction in EFT

In this appendix, we propose a derivation of eq. (20) in the standard EFT framework. We first define the *in-vacuum* regularization of contact interaction leading to the Low Energy Constant (LEC) of the bare interaction. Then, we derive similar renormalization for density dependent contact interaction leading to the *in-medium* scheme used in this work. We will first recall generalities on the scattering theory and the renormalization of the loop integrals in EFT. Then, we present renormalization procedure by considering the *in-medium* effects and the presence of the Fermi sea. Note that our discussion will differs from others aspects of the regularization close to the Fermi surface in the context of the Landau theory of Fermi liquid as discussed in [116, 156–158].

#### 1. Generalities on scattering theory

We start with the leading order of a general non-relativistic local Lagrangian for a fermion field  $\psi_0$  (with mass  $m = 1$ ), invariant under Galilean, parity and time-reversal transformation. Schematically, the Lagrangian reads:

$$\mathcal{L}_0 = \psi_0^\dagger [i\partial_t - \hat{e}_k] \psi_0 - \frac{1}{2} \psi_0^\dagger \psi_0^\dagger \hat{V}_0 \psi_0 \psi_0, \quad (\text{C1})$$

where  $\hat{e}_k = (-i\nabla)^2/2 \sim k^2/2$  is the Galilean invariant derivative. This Lagrangian is associated to the low-momentum effective *s*-wave interaction given by  $V_0(k, k') = \langle k' | \hat{V}_0 | k \rangle = g$ . To connect the coupling constant to the standard LEC of the bare interaction, we introduce the (on-shell) *S*-matrix for the *s*-wave scattering process, the associated (on-shell) *T*-matrix, and the phase shift  $\delta$  defined as follow:

$$S_0(k) \equiv 1 - \frac{ikT_0(k)}{2\pi} \equiv e^{2i\delta(k)} \rightarrow T_0(k) = \frac{4\pi}{ik - k \cot \delta_0(k)}.$$

The low-momentum expansion of the phase shift is given by  $k \cot \delta_0(k) = -1/a_s + \mathcal{O}(k^2)$  that defines the *s*-wave scattering length  $a_s$  and connects it to the constant  $g$  of the bare Lagrangian. Considering all orders in momentum, the *T*-matrix verifies the (on-shell) Lippmann – Schwinger Equation (LSE) derived as follows. We consider the scattering of two particles interacting through the contact interaction  $\hat{V}_0 = g$ . Due to the fact that we consider the contact interaction, local in time, the two particles have necessarily different spins because of the Pauli exclusion principle. The states  $|\psi^\pm\rangle$  are the

incoming (−) and outgoing (+) particles states solutions of  $[\hat{H}_0 + \hat{V}_0]|\psi_k^\pm\rangle = e_k|\psi_k^\pm\rangle$ . The initial and final single-particle states are denoted by  $|\phi_k\rangle$ , and they are plane waves solutions of the Schrödinger equation  $\hat{H}_0|\phi_k\rangle = \epsilon_k|\phi_k\rangle$ . Due to the energy-momentum conservation, we have  $e_k \rightarrow \epsilon_k = k^2/2$ . Formally, the  $S$ -matrix is defined as  $S(k, k') = \langle\phi_{k'}|\hat{S}|\phi_k\rangle = \langle\psi_{k'}^+|\psi_k^+\rangle$ . We can show that

$$|\psi_k^\pm\rangle = |\phi_k\rangle + \hat{G}_0^\pm(\omega = \epsilon_k)\hat{V}_0|\psi_k^\pm\rangle, \quad (\text{C2})$$

where we have defined the free Green Function or resolvent operator as  $\hat{G}_0^\pm(\omega) = [\omega - \hat{H}_0 \pm i\theta]^{-1}$ . Then, the  $T$ -matrix is defined formally as  $T_0(k, k') = \langle\phi_{k'}|\hat{V}_0|\psi_k^+\rangle$ . Therefore, by inserting a closure relation  $|\phi_q\rangle\langle\phi_q|$  in eq. (C2), we deduce that the  $T$ -matrix verifies the LSE given by

$$T_0(k', k) = V_0(k', k) - \frac{1}{4\pi^2} \int q^2 dq \frac{V_0(k', q)T_0(q, k)}{e_q - \epsilon_k - i\theta}, \quad (\text{C3})$$

where  $\epsilon_k = k^2/2$  denote the energy of the scattered asymptotic outgoing particle at infinity, i.e. a free particle or plane wave. Diagrammatically, this equation<sup>3,4</sup> can be written as displayed in fig. 9(a) where the propagator  $G_0^\pm(\mathbf{q}, \omega) = [\omega - e_q \pm i\theta]^{-1}$  is represented by the solid lines.

For the contact interaction,  $V_0(k', k) = g$ , the eq. (C3) can be solved analytically, and the solution reads

$$T_0(k) = \frac{1}{\frac{1}{g} + \Lambda(k^2)}, \quad (\text{C4})$$

where the explicit form of the loop integral  $\Lambda$  is given in next section.

## 2. Regularization of loop integrals

In the last result, we have introduced the divergent loop integral

$$\begin{aligned} \Lambda(q^2) &= \frac{1}{4\pi^2} \int \frac{k^2 dk}{e_k - \epsilon_q - i\theta} \\ &= \frac{i|q|}{4\pi} + \frac{1}{2\pi^2} \mathcal{P} \int k^2 dk \frac{1}{k^2 - q^2}, \end{aligned} \quad (\text{C5a})$$

where we have used  $[X \pm i\theta]^{-1} = \mathcal{P}(1/X) \mp i\pi\delta(X)$  with  $\mathcal{P}$  denoting the Cauchy principal value. In order to regularize this loop integral  $\Lambda(q^2)$ , a standard method is

to insert a momentum scale  $k_c$  and a regulator function  $f(k/k_c)$  such that the integral converges. This regulator satisfies  $f(\infty) = 0$  and  $f(0) = 1$ . This defines the momentum dependent loop integral [159]

$$\Lambda_c(q^2) = \frac{1}{2\pi^2} \int \frac{k^2 dk}{k^2 - q^2 - i\theta} \times f(k/k_c). \quad (\text{C5b})$$

We use a sharp-spherical cutoff prescription, i.e.  $f(k/k_c) = \Theta(k_c - k)$  is a Heaviside step function, and we obtain

$$\mathcal{P} \Lambda_c(q^2) = \frac{k_c}{2\pi^2} \left[ 1 - \frac{q}{2k_c} \ln \frac{k_c + q}{k_c - q} \right]. \quad (\text{C6})$$

Note that, the loop integral still diverges with  $k_c$ . Combining it with eq. (C4) and low momentum expansion of  $T_0(k)$  we obtain

$$\frac{1}{g_c} = \frac{1}{4\pi a_s} - \mathcal{P} \Lambda_c(q^2 = 0), \quad (\text{C7})$$

where  $g_c$  denotes regularized coupling constant, while  $(4\pi a_s)^{-1}$  is LEC expressed in terms of the scattering length. Here, we recognize the renormalization scheme introduced in eq. (19).

## 3. Regularization of pairing coupling constant

We consider now the following (grand-canonical) Lagrangian:

$$\mathcal{L} = \psi^\dagger [i\partial_t - \hat{\epsilon}_k] \psi - \frac{1}{2} \psi^\dagger \psi^\dagger \hat{V}_\lambda \psi \psi, \quad (\text{C8})$$

where the *in-medium* interaction  $\langle k'|\hat{V}_\lambda|k\rangle = C_\lambda/n^{1/3}$  can be identified to the LEC and the single-particle energies are  $\epsilon_k \sim \alpha_\lambda e_k + b_\lambda \epsilon_F$  in our case. We consider now the shifted single-particle energies in the one-body part of the Lagrangian due to the fact that we have introduced the chemical potential (contained in the definition of the  $b_\lambda$  parameter) to fix the number of particles. In other words, that consists in measuring the energies relative to the Fermi sea. In that case, the LSE above must also be redefined using the change  $\pm i\theta \rightarrow -\mu \pm i\theta$ . It is a consequence of the Pauli blocking: the scattering occurs only above the Fermi sea since all the single-particle states below Fermi surface are occupied. Note that similar idea are encountered to derive the Cooperon or many-body LSE at Random Phase Approximation (RPA) level [160, chap. 9] or resummation of ladder *in-medium* many-body diagrams [67, 71, 161].

More precisely, we consider the scattering of two particles interacting through a density-dependent contact interaction  $\hat{V}_\lambda = C_\lambda/n^{1/3}$  with a many-body system of fermions with single-particle energies  $\epsilon_k$  associated to an Hamiltonian  $\hat{H}$ . The incoming (−) and outgoing (+) particle states are now solutions of  $[\hat{H} + \hat{V}_\lambda - \mu]|\psi_k^\pm\rangle = \epsilon_k|\psi_k^\pm\rangle$ . The initial and final states are solutions of

<sup>3</sup> Due the Galilean invariance, we can consider the center of mass frame in the loop calculations, i.e.  $\mathbf{K} = \mathbf{0}$ .

<sup>4</sup> Note that we have to multiply the last diagrams by a factor  $i/2$  accounting for the symmetry factor of the diagrams, and by a factor 2 accounting for spin summation, cf. Feynman rules in [93] for instance.

$[\hat{H} - \mu]|\phi_k\rangle = \tilde{\epsilon}_k|\phi_k\rangle$  and are assumed as plane waves before and after the scattering. By energy-momentum conservation, we have  $\varepsilon_k \rightarrow \tilde{\epsilon}_k = (\epsilon_k - \mu) + \mu = \epsilon_k$ , i.e. with energies higher than the chemical potential of the many-body system.<sup>5</sup>

We define the Many-Body Green Function (MBGF) as

$$G(\mathbf{k}, \omega) = \frac{n_k}{\omega - \varepsilon_k - i\theta} + \frac{1 - n_k}{\omega - \varepsilon_k + i\theta} \\ = \frac{1}{\omega - \varepsilon_k + i\theta} + 2i\pi n_k \delta(\omega - \varepsilon_k) \quad (\text{C9})$$

decomposed into a free and a *in-medium* components denoted respectively  $\bar{G}$  and  $\delta G$  and where  $n_k$  denote occupation numbers of the particles in the medium. The *in-medium* contribution, depending on occupation number, will not contribute to the *in-medium*  $T$ -matrix, i.e. we consider only the free propagation in the medium during the scattering process. Therefore, as in the vacuum case, we get [162]

$$T(k, k') = V_\lambda(k, k') \\ + \frac{1}{4\pi^2} \int q^2 dq \bar{G}(\mathbf{q}, \omega = \tilde{\epsilon}_k) V_\lambda(k, q) T(q, k'). \quad (\text{C10})$$

A diagrammatic representation of this equation is given in fig. 9(b) where the thick arrowed solid lines correspond to the free component of the MBGF defined by eq. (C9) and the thick dashed arrowed lines to a free particle with a single-particle energy above the Fermi sea.

Finally, we can formulate our *in-medium* regularization procedure<sup>6</sup>

$$\frac{n^{1/3}}{C_\lambda^{\text{reg.}}} = \tilde{C}_\lambda - \mathcal{P} \tilde{\Lambda}_c(q^2 = 0), \quad (\text{C11a})$$

where:

$$\mathcal{P} \tilde{\Lambda}_c(q^2) = \frac{1}{4\pi^2} \mathcal{P} \int \frac{k^2 dk}{\varepsilon_k - \tilde{\epsilon}_q}, \quad (\text{C11b})$$

by analogy to the result (C7). Thus, we recover the results of eq. (20).

## Appendix D: Numerical implementation of the SLDAE functional

For simplicity of discussions, we keep in the main text the equations valid for uniform systems. In case of non-uniform systems, e.g. in an external potential, some modification of the self-consistent mean-field equations occurs. We provide in this appendix details of our implementation of the general functional eq. (4) in the *W-SLDA Toolkit*.

### 1. Non-uniform solutions

Considering the systems of interest trapped by an external (eventually vanishing) static potential  $V_{\text{ext}}(\mathbf{r})$ , the

kinetic, potential, and pairing operators reads respectively [28]:

$$K(\mathbf{r}) \equiv -\nabla \cdot \frac{\delta E}{\delta \tau(\mathbf{r})} \nabla = -\frac{1}{2} \nabla \cdot A(\mathbf{r}) \nabla, \quad (\text{D1a})$$

$$U(\mathbf{r}) \equiv \frac{\delta E}{\delta n(\mathbf{r})}, \quad (\text{D1b})$$

$$\Delta(\mathbf{r}) \equiv -\frac{\delta E}{\delta \nu^*(\mathbf{r})} = -\frac{C(\mathbf{r})}{n(\mathbf{r})^{1/3}} \nu(\mathbf{r}), \quad (\text{D1c})$$

where we have introduced the shorthand notations  $X(\mathbf{r}) \equiv X_{\lambda(\mathbf{r})}$  for the parameters which depend on the density dependent coupling constant  $\lambda(\mathbf{r})$ . In particular, we anticipate regularization by replacing

$$\frac{n(\mathbf{r})^{1/3}}{C(\mathbf{r})} \rightarrow \frac{n(\mathbf{r})^{1/3}}{C^{\text{reg.}}(\mathbf{r})} \equiv \tilde{C}(\mathbf{r}) - \mathcal{P} \tilde{\Lambda}_c(\mathbf{r}). \quad (\text{D2})$$

where we note  $\mathcal{P} \tilde{\Lambda}_c(\mathbf{r}) = \mathcal{P} \Lambda_c(q(\mathbf{r})^2)/A(\mathbf{r})$  given explicitly below. The mean-field potential is finally defined by

$$U(\mathbf{r}) = \frac{1}{2} \frac{\partial A}{\partial n}(\mathbf{r}) \tau(\mathbf{r}) + \left[ B(\mathbf{r}) + \frac{3}{5} \frac{\partial B}{\partial n}(\mathbf{r}) n(\mathbf{r}) \right] \varepsilon_F(\mathbf{r}) \\ - \left[ A(\mathbf{r}) \frac{\partial \tilde{C}}{\partial n}(\mathbf{r}) - \frac{\partial \mathcal{P} \Lambda_c}{\partial n}(\mathbf{r}) \right] \frac{|\Delta(\mathbf{r})|^2}{A(\mathbf{r})} \\ - \frac{1}{A(\mathbf{r})} \left[ \tilde{C}(\mathbf{r}) |\Delta(\mathbf{r})|^2 + \Delta^*(\mathbf{r}) \nu(\mathbf{r}) \right] \frac{\partial A}{\partial n}(\mathbf{r}) \\ + V_{\text{ext}}(\mathbf{r}), \quad (\text{D3})$$

where the local Fermi energy  $\varepsilon_F(\mathbf{r})$  is defined through the local Fermi momentum  $k_F(\mathbf{r})$  related to the normal density as  $k_F(\mathbf{r}) = (3\pi^2 n(\mathbf{r}))^{1/3}$ .

### 2. Self-consistent regularization

In the text, we introduced a cutoff momentum  $k_c$ . However, for non-uniform system, the momentum of the quasi-particle is no more a good quantum number. Instead, we introduce a cutoff energy  $E_c$  such that the summations of eq. (3) are performed only on single-particle states labeled by  $n$  such that  $|E_n| < E_c$ . Guided by the *in-medium* regularization scheme introduced in section II, we choose the cutoff energy

$$E_c = A(\mathbf{r}) \frac{k_c(\mathbf{r})^2}{2} + U(\mathbf{r}) - \mu, \quad (\text{D4})$$

that define a position dependent cutoff momentum  $k_c(\mathbf{r})$ . We can now compute the cutoff integral as:

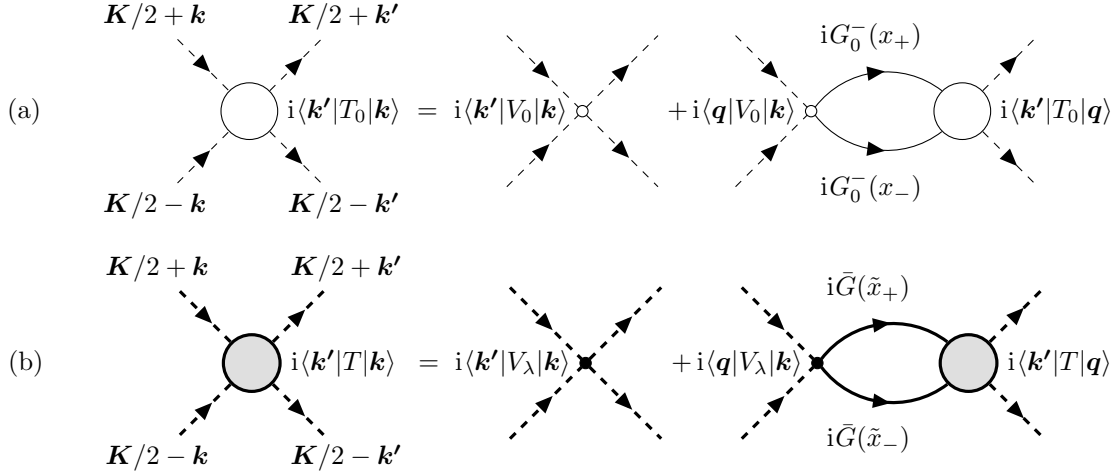


FIG. 9. Diagrammatic for the *in-vacuum* (a) and *in-medium* (b)  $T$ -matrix. For convenience, we have introduced the shorthand notations  $x_{\pm} = (\mathbf{q}_{\pm} = \pm \mathbf{k} \pm \mathbf{q}, \epsilon_k \pm \omega)$  and  $\tilde{x}_{\pm} = (\mathbf{q}_{\pm}, \tilde{\epsilon}_k \pm \omega)$ .

$$\begin{aligned}
 A(\mathbf{r}) \mathcal{P} \tilde{\Lambda}_c(\mathbf{r}) &\equiv \mathcal{P} \Lambda_c(q(\mathbf{r})^2) = \frac{A(\mathbf{r})}{4\pi^2} \mathcal{P} \int_0^{k_c(\mathbf{r})} \frac{k^2 dk}{A(\mathbf{r})k^2/2 + U(\mathbf{r}) - \mu} \\
 &= \begin{cases} \frac{k_c(\mathbf{r})}{2\pi^2} \left[ 1 - \frac{q(\mathbf{r})}{2k_c(\mathbf{r})} \ln \left| \frac{k_c(\mathbf{r}) + q(\mathbf{r})}{k_c(\mathbf{r}) - q(\mathbf{r})} \right| \right] & \text{if } \mu - U(\mathbf{r}) > 0, \\ \frac{k_c(\mathbf{r})}{2\pi^2} \left[ 1 + \frac{q(\mathbf{r})}{k_c(\mathbf{r})} \arctan \left( \frac{q(\mathbf{r})}{k_c(\mathbf{r})} \right) \right] & \text{if } \mu - U(\mathbf{r}) \leq 0, \end{cases} \quad (\text{D5})
 \end{aligned}$$

where  $q(\mathbf{r})^2 \equiv 2|U(\mathbf{r}) - \mu|/A(\mathbf{r})$  is the positive pole of the cutoff integral. Note that the term  $A(\mathbf{r})k^2/2$  appearing in the denominator of the cutoff integral integrand corresponds to the action of the kinetic operator of eq. (D1a) in the dual reciprocal space, i.e.  $-\mathbf{i}\nabla = \mathbf{k}$  is the momentum of the quasi-particle considered.

The effective coupling constant of the pairing part of the functional, defined by eq. (D2), can be obtained using

$$\frac{n(\mathbf{r})^{1/3}}{C^{\text{reg.}}(\mathbf{r})} \equiv \frac{n(\mathbf{r})^{1/3}}{C(\mathbf{r})} - \frac{\mathcal{P} \Lambda_c(q(\mathbf{r})^2)}{A(\mathbf{r})}. \quad (\text{D6})$$

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<sup>5</sup> Otherwise, the scattered particle cannot be differentiated from the single-particle of the many-body system.

<sup>6</sup> This result leads to the identity  $\mathcal{P} \tilde{\Lambda}_c \equiv \mathcal{P} \tilde{\Lambda}_c(q^2 = 0) = \mathcal{P} \Lambda_c(q^2 = -2b_\lambda \epsilon_F / \alpha_\lambda) / \alpha_\lambda$ . In the vacuum, i.e. at zero-density or equivalently in the limit  $\lambda \rightarrow 0$ , we have  $-b_\lambda \epsilon_F / \alpha_\lambda \sim k_F^2 \rightarrow 0$  and  $\alpha_\lambda \rightarrow 1$ , hence the continuity of the result since  $\mathcal{P} \tilde{\Lambda}_c \rightarrow \mathcal{P} \Lambda_c$ .

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