# Towards ab initio Density Functional Theory

from atomic to nuclear systems

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#### **Collaborators:**

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postdoctoral position seminar 2022/01/20 (University of Barcelona)

# **Context and Motivations**

$$V_2, V_3, \dots$$

$$\rightarrow LECs$$

[Medvedev et al., Science 55 (2017), Furnstahl, EPJA 56 (2020)]



- relies on bare interaction (recent progresses)
- X costly numerically
   (many-particle picture)



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### E[{densities}, {LECs}]?

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# Non-empirical DFT for interacting Fermi systems?

- ► Low density (perturbative expansion)
- Unitarity (non-perturbative regime)

$$\langle \boldsymbol{k} | \hat{V} | \boldsymbol{k'} \rangle = \frac{4\pi}{m} a_s + \frac{2\pi}{m} a_s^2 r_s \frac{\boldsymbol{k}^2 + \boldsymbol{k'}^2}{2} + \cdots$$

[Furnstahl, EFT for DFT]







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## DFT from EFT resummation

#### Resummation of many-body diagrams

$$E = E^{(0)} + E^{(1)} + E^{(2)} + \cdots$$

 $\frac{G(\omega, \mathbf{k})}{\langle \mathbf{k} | \hat{V} | \mathbf{k}' \rangle} = \frac{n_k}{\omega - e_k + i0^-} + \frac{1 - n_k}{\omega - e_k + i0^+}$  $\frac{4\pi a_s}{m}$ 

[Fetter and Walecka book]

$$n_k = \theta(k_{\rm F} - k)$$
 occupation numbers  
 $e_k = k^2/2m$  single particle energy

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complexity

$$n_k = \theta(k_{\rm F} - k)$$
 occupation numbers  $e_k = k^2/2m$  single particle energy

#### Contributing energy diagrams



#### Resummation of many-body diagrams

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$$n_k = \theta(k_{\rm F} - k)$$
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### Phase-space average approximation

$$\frac{E}{E^{(0)}} = \frac{1}{E^{(0)}} \sum_{n=0}^{\infty} \left\{ \sum_{n=0}^{\infty} \left\{ s = 1 - \frac{80}{\pi k_F^5} \int s^2 ds \int t \, dd \, t \arctan \frac{\lambda \pi I(s,t)}{\pi + \lambda R(s,t)} \right\} = 0.37$$

[Kaiser NPA **860** (2005), Kaiser EPJA **49** (2013)]

#### Ladder Ressumation (LR)

- ✓ non-empirical function of  $\lambda = |a_s k_F|$
- ✓ match weak coupling limit
- !? complicated expression
- X unitary limit not reproduced

## Phase-space average approximation

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$$\approx 1 - \frac{16}{3\pi} \arctan \frac{\lambda \pi \langle I \rangle}{\pi + \lambda \langle R \rangle} = 0.36 \approx 0.37$$
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### Phase-space average approximation

$$\frac{E}{E^{(0)}} = \frac{1}{E^{(0)}} \sum_{n=0}^{\infty} \swarrow = 1 - \frac{80}{\pi k_F^5} \int s^2 ds \int t dd t \arctan \frac{\lambda \pi I(s,t)}{\pi + \lambda R(s,t)} = 0.51 \neq 0.37$$

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$$\swarrow \text{ non-empirical function of } \lambda = |a_s k_F| \land \text{ non-empirical function of } \lambda = |a_s k_F| \land \text{ match weak coupling limit} \land \text{ simple/explicite expression} \land \text{ unitary limit not reproduced}$$

$$k^2$$

▶ self-energy → effective mass  $\varepsilon_k \simeq \frac{\kappa}{2m^*} + U_0$ 

#### Results



pp ladder [66, 69]



# What about superfluidity and finite-size systems?

- ▶ liquid <sup>3</sup>He and <sup>4</sup>He, superconductor, etc.
- nuclear systems (atomic nuclei and neutron stars)
- ▶ ultra-cold atomic gases (bosonic and fermionic)

## Systematic extension of SLDA

### DFT for superfluid systems?

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1965 Kohn – Sham (+ Holenberg – Kohn)
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Self-Consistent Equations Including Exchange and Correlation Effects

 $\rightarrow$  only normal phase, i.e. no pairing correlation

1988 Oliveira – Gross – Kohn

Density-Functional Theory for Superconductors

1994 Wacker – Kümmel – Gross

Time-Dependent Density-Functional Theory for Superconductors

- **2002** Bulgac (BCS / weak coupling regime  $\lambda = |a_s k_F| \ll 1$ ) Local Density Approximation for Systems with Pairing Correlations  $\rightarrow$  crucial advance for numerical treatment
- **2007** Bulgac (unitary regime  $\lambda = |a_s k_F| = \infty$ ) Local Density Functional Theory for Superfluid Fermionic Systems: The Unitary Gas  $\rightarrow$  lot of successful applications

### Local Density Approximation for superfluidity

$$E = \int \mathrm{d}\boldsymbol{r} \,\mathcal{E}(\{n, \nu, \tau\}(\boldsymbol{r})) \quad \stackrel{\text{B. T.}}{\to} \quad E_k \begin{bmatrix} u_k(\boldsymbol{r}) \\ v_k(\boldsymbol{r}) \end{bmatrix} = \begin{bmatrix} +h(\boldsymbol{r}) & \Delta(\boldsymbol{r}) \\ \Delta^*(\boldsymbol{r}) & -h^*(\boldsymbol{r}) \end{bmatrix} \begin{bmatrix} u_k(\boldsymbol{r}) \\ v_k(\boldsymbol{r}) \end{bmatrix}$$

densities (+ proper renormalization with cutoff)

$$n(\mathbf{r}) = \sum_{k} |v_{k}(\mathbf{r})|^{2} \qquad v(\mathbf{r}) = \frac{1}{2} \sum_{k} u_{k}(\mathbf{r}) v_{k}^{*}(\mathbf{r}) \qquad \tau(\mathbf{r}) = \sum_{k} |\nabla v_{k}(\mathbf{r})|^{2}$$
potentials  $h = h(U, \Delta, m^{\star})$ 

$$\delta E \qquad \delta E \qquad 1 \qquad \delta E$$

$$U(\mathbf{r}) = \frac{\delta E}{\delta n(\mathbf{r})} \qquad \Delta(\mathbf{r}) = -\frac{\delta E}{\delta v^*(\mathbf{r})} \qquad \frac{1}{2m^*(\mathbf{r})} = \frac{\delta E}{\delta \tau(\mathbf{r})}$$

open-source (and user friendly) W-SLDA Toolkit
 @ https://wslda.fizyka.pw.edu.pl/

time-dependent  $E_k \rightarrow i \partial_t$ , temperature effect, current density j, etc.

## BdG and SLDA functionals

BdG (HFB) functional 
$$\lambda \ll 1$$
  
 $\mathcal{E} = \frac{\tau}{2m} + \frac{C_0 \lambda}{n^{1/3}} |\nu|$ 
 $E = \int dr \mathcal{E}(\{n, \nu, \tau\}(r))$ 
SLDA functional  $\lambda = \infty$   
 $\mathcal{E} = A_{\infty} \frac{\tau}{2m} + \frac{3}{5} B_{\infty} \varepsilon_{\mathrm{F}} n + \frac{C_{\infty}}{n^{1/3}} |\nu|$ 
 $\pi$ 
 $n(r) = \frac{k_{\mathrm{F}}(r)^3}{3\pi^2}$ 
 $\varepsilon_{\mathrm{F}}(r) = \frac{k_{\mathrm{F}}(r)^2}{2m}$ 

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### BdG and SLDA functionals

BdG (HFB) functional

$$\mathcal{E} = \frac{\tau}{2m} + \frac{C_0 \lambda}{n^{1/3}} |\nu|$$

 $\lambda \ll 1$ 

 $\lambda = \infty$ 

 $= |a_s k_{\rm F}|$ 

#### SLDA extended = SLDAE

$$\mathcal{E} = A_\lambda \frac{\tau}{2m} + \frac{3}{5} B_\lambda \varepsilon_{\rm F} n + \frac{C_\lambda}{n^{1/3}} |\nu|$$

SLDA functional

$$\mathcal{E} = A_{\infty} \frac{\tau}{2m} + \frac{3}{5} B_{\infty} \varepsilon_{\mathrm{F}} n + \frac{C_{\infty}}{n^{1/3}} |\nu|$$

$$E = \int \mathrm{d}\boldsymbol{r} \,\mathcal{E}(\{n,\nu,\tau\}(\boldsymbol{r}))$$

Local energy density functional for superfluid Fermi gases from effective field theory. AB, G. Wlazłowski, and P. Magierski submited at PRA, arXiv:2201.07626 (2022)

$$n(\mathbf{r}) = \frac{k_{\rm F}(\mathbf{r})^3}{3\pi^2} \qquad \varepsilon_{\rm F}(\mathbf{r}) = \frac{k_{\rm F}(\mathbf{r})^2}{2m}$$

$$E = \int d\mathbf{r} \,\mathcal{E}(\{n, \nu, \tau\}(\mathbf{r})) \qquad \qquad \mathcal{E} = A_{\lambda} \frac{\tau}{2m} + \frac{3}{5} B_{\lambda} \varepsilon_{\mathrm{F}} n + \frac{C_{\lambda}}{n^{1/3}} |\nu|$$
  
uniform systems  $\varepsilon_{k} = \frac{k^{2}}{2m^{\star}} + U_{0}(B_{\lambda}, C_{\lambda}) \qquad E_{k} = \sqrt{(\varepsilon_{k} - \mu)^{2} + \Delta^{2}} \qquad \varepsilon_{\mathrm{F}}^{\star} = \frac{k_{\mathrm{F}}^{2}}{2m^{\star}}$ 

uniform

$$E = \int d\mathbf{r} \mathcal{E}(\{n, \nu, \tau\}(\mathbf{r})) \qquad \qquad \mathcal{E} = A_{\lambda} \frac{\tau}{2m} + \frac{3}{5} B_{\lambda} \varepsilon_{\mathrm{F}} n + \frac{C_{\lambda}}{n^{1/3}} | \nu$$
systems
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$$A_{\lambda} \leftarrow \frac{m}{m^{\star}} = A_{\lambda} + n \frac{\delta A_{\lambda}}{\delta n}$$

$$B_{\lambda} \leftarrow U_{0} = \mu + \varepsilon_{\mathrm{F}}^{\star} \sum_{i=0}^{\infty} \mathcal{B}_{i} \left(\frac{\Delta}{\varepsilon_{\mathrm{F}}^{\star}}\right) \times \left[\frac{\Delta}{\varepsilon_{\mathrm{F}}^{\star}}\right]^{i}$$

$$C_{\lambda} \leftarrow \frac{1}{C_{\lambda}} = \frac{m^{\star}}{m} \times \sum_{i=0}^{\infty} \mathcal{C}_{i} \left(\frac{\Delta}{\varepsilon_{\mathrm{F}}^{\star}}\right) \times \left[\frac{\Delta}{\varepsilon_{\mathrm{F}}^{\star}}\right]^{i}$$

- LR + PSA  $\rightarrow m^{\star}(\lambda), \mu(\lambda)$ ab initio / exp.  $\rightarrow \Delta(\lambda)$ 
  - ✓ universal expansion in  $\Delta/\varepsilon_{\rm F}^{\star}$ of functional parameters!
  - ✓ generalizable systematically

uniform

$$E = \int d\mathbf{r} \mathcal{E}(\{n, \nu, \tau\}(\mathbf{r})) \qquad \qquad \mathcal{E} = A_{\lambda} \frac{\tau}{2m} + \frac{3}{5} B_{\lambda} \varepsilon_{\mathrm{F}} n + \frac{C_{\lambda}}{n^{1/3}} | \nu$$
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non-uniform systems  $\lambda = |a_s k_F| \rightarrow \lambda(\mathbf{r}) = |a_s k_F(\mathbf{r})| \qquad \{n, \nu, \tau\} \rightarrow \{n, \nu, \tau\}(\mathbf{r})$ 

#### Structure properties

▶ link with recent experiments

 $\lambda^{-1} = |a_s k_{\rm F}|^{-1} \lesssim 0.3 \qquad T \simeq 0.05 T_{\rm F}$ 



### Applications to superfluid quantum vortex

#### Structure properties

link with recent experiments

 $\lambda^{-1} = |a_s k_{\rm F}|^{-1} \lesssim 0.3 \qquad T \simeq 0.05 T_{\rm F}$ 

#### EFT correspondence with BCS

▶ systematic expression of quantities  $Q(BCS) = f(\Delta/\varepsilon_F) \rightarrow Q \simeq f(\Delta/\varepsilon_F^*)$ 



#### many quantum superfluid vortices

dissipation (even in pure superfluid) during scattering

A. Barresi, AB, P. Magierski, and G. Wlazłowski (coming soon)

quantum turbulence and effect of shear viscosity

#### quantum quenches

► Higgs modes (i.e. |∆(t)|) and Anderson – Bogoliubov modes (i.e. arg∆(t)) AB, G. Wlazłowski, and P. Magierski (work in progess)

#### analogy with gravitation and black hole physics

▶ high numerical accuracy needed...

# Conclusion and Outlook

### Summary of the SLDAE method



## Example of (long term) project towards ab initio DFT via VPT

#### Basic idea of Variational Perturbation Theory

[Kleinert, EJTP 8 (2011)]

$$S = S_0 + S_{\text{int}} \longrightarrow S = \underbrace{(S_0 + \mathcal{M}[U, \Delta])}_{\text{reference}} + \underbrace{(S_{\text{int}} - \mathcal{M}[U, \Delta])}_{\text{perturbation}}$$

$$\frac{\delta S}{\delta U} = \frac{\delta S}{\delta \Delta} = 0$$

- ► reference (single particle) → KS / BdG / HFB like equations
- perturbation (diagramatic)

 $\rightarrow$  self-consistent equations

[project initiated with S. Bogner (MSU)]

- ✓ systematic improvement of HFB
- ✓ generalizable (breaking symmetry, etc.)
- ✓ explicit in terms of densities (Wicks contractions) ≠ Legendre transform
- ✓ no quantum fluctuations of the collective fields ≠ Hubbard-Stratanovich transform

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- R. J. Furnstahl, Eur. Phys. J. A 56, 85 (2020).
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- H. Kleinert, EJTP 8, 57 (2011).

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*postdoctoral position interview* 2022/04/07 (University of York)

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[Furnstahl, EFT for DFT]





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## DFT from EFT resummation

$$\sum_{n=1}^{\infty} E_{(n)}^{ladder} = \left\{ + \left\{ \begin{array}{c} \\ \end{array} + \left\{ + \right\} + \left\{ + \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ - \right\} + \cdots + \left\{ \begin{array}{c} \\ \end{array} + \left\{ - \right\} + \cdots + \left\{ - \right\} + \cdots + \left\{ \left\{ - \right\} + \cdots + \left\{ \left\{ - \right\} + \left\{ - \right\} + \cdots + \left\{ - \right\}$$

### Non-empirical DFT results - dilute neutron matter





[Lacroix, AB, Grasso, Yang, PRC **95** (2017)] [AB and Lacroix, PRC **97** (2018)] [AB and Lacroix, J. Phys. G **46** (2019)]

## ✓ non-empirical & explicit

$$\frac{E}{E^{(0)}} = 1 - \frac{16}{3\pi} \arctan\left(\frac{5\lambda/24}{1 + 6(11 - 2\ln 2)\lambda/35\pi}\right) + f_{\lambda}(r_s k_F)$$





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## ✓ Successful applications

- thermodynamics, collective modes, static response vs. ab-initio
- quasi-particles (effective mass, etc.)

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[Lacroix, AB, Grasso, Yang, PRC 95 (2017)] [AB and Lacroix, PRC **97** (2018)] [AB and Lacroix, J. Phys. G 46 (2019)] ✓ non-empirical & explicit ✓ link with standard DFTs  $\frac{E}{E^{(0)}} = 1 - \frac{16}{3\pi} \arctan\left(\frac{5\lambda/24}{1 + 6(11 - 2\ln 2)\lambda/35\pi}\right) + f_{\lambda}(r_s k_F)$  $= 1 + \frac{10}{9\pi} [\tilde{a_s}(k_F)k_F] + \cdots \qquad \leftarrow \qquad (\mathsf{HF form})$ المناهد فكي لكن أكما المحا ألمه المراجع المناجع والمحار والمحار المار المحد عن المار 0 -5  $\widetilde{a_s}$  [fm] -10 Skyrme functional -15  $\widetilde{a_s}(k_F) \propto t_0(1-x_0)$  $a_{s}$ -20 2.0 0.0 0.5 10 15  $k_{\rm F} \, [{\rm fm}^{-1}]$ 16

## Systematic extension of SLDA



$$E = \int d\mathbf{r} \mathcal{E}(\{n, \nu, \tau\}(\mathbf{r})) \qquad \qquad \mathcal{E} = \frac{\tau}{2m^{\star}} + \frac{3}{5}B_{\lambda}\varepsilon_{\mathrm{F}}n + \frac{C_{\lambda}}{n^{1/3}}|\nu|^{2}$$
  
uniform systems (BCS-like) 
$$\varepsilon_{k} = \frac{k^{2}}{2m^{\star}} + U_{0}(B_{\lambda}, C_{\lambda}) \qquad E_{k} = \sqrt{(\varepsilon_{k} - \mu)^{2} + \Delta^{2}} \qquad \varepsilon_{\mathrm{F}}^{\star} = \frac{k_{\mathrm{F}}^{2}}{2m^{\star}}$$

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$$\begin{split} B_{\lambda} & \leftarrow \quad U_0 = \mu + \varepsilon_{\rm F}^{\star} \sum_{i=0}^{\infty} \mathcal{B}_i \bigg( \frac{\Delta}{\varepsilon_{\rm F}^{\star}} \bigg) \\ C_{\lambda} & \leftarrow \quad \frac{1}{C_{\lambda}} = \frac{m^{\star}}{m} \times \sum_{i=0}^{\infty} \mathcal{C}_i \bigg( \frac{\Delta}{\varepsilon_{\rm F}^{\star}} \bigg) \end{split}$$

LR + PSA  $\rightarrow m^{\star}(\lambda), \mu(\lambda)$ ab initio / exp.  $\rightarrow \Delta(\lambda)$ 

- ✓ universal expansion in  $\Delta/\epsilon_{\rm F}^{\star}$ of functional parameters!
- ✓ generalizable systematically

*π* 3

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uniform systems (BCS-like)  $\varepsilon_k = \frac{k^2}{2m^*} + U_0(B_\lambda, C_\lambda)$   $E_k = \sqrt{(\varepsilon_k - \mu)^2 + \Delta^2}$   $\varepsilon_F^* = \frac{k_F^2}{2m^*}$ 

$$B_{\lambda} \quad \leftarrow \quad U_{0} = \mu + \varepsilon_{\mathrm{F}}^{\star} \sum_{i=0}^{\infty} \mathcal{B}_{i} \left( \frac{\Delta}{\varepsilon_{\mathrm{F}}^{\star}} \right)$$
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 $LR + PSA \rightarrow m^{\star}(\lambda), \mu(\lambda)$ ab initio / exp.  $\rightarrow \Delta(\lambda)$ 

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- generalizable systematically

Implemented in W-SLDA toolkit (zero and finite temperature)

non-uniform systems  $\{n, \nu, \tau\} \rightarrow \{n, \nu, \tau\}(r)$   $\lambda = |a_s k_{\rm F}| \rightarrow \lambda(r)$ time-dependent problems  $\{n, \nu, \tau\} \rightarrow \{n, \nu, \tau\}(\mathbf{r}, t)$   $\lambda = |a_c k_{\rm E}| \rightarrow \lambda(\mathbf{r}, t)$ 

### Ongoing applications within the time-dependent (local) DFT



#### many quantum superfluid vortices (link with neutron star merger)

dissipation (even in pure superfluid) during scattering

A. Barresi, AB, P. Magierski, and G. Wlazłowski (coming soon)

quantum turbulence and effect of shear viscosity

#### quantum quenches (link with nuclear reactions)

► Higgs modes (i.e.  $|\Delta(t)|$ ) and Anderson – Bogoliubov modes (i.e.  $\arg \Delta(t)$ ) AB, G. Wlazłowski, and P. Magierski (work in progess)

### Summary

#### open to new opportunities

#### ▶ formal aspects

(e.g. MBPT, Green's function, EFT, path integral and effective action, etc.)

#### numerical implementations

(e.g. SLDAE in W-SLDA Toolkit)

#### ▶ large-scale calculations on HPC

(e.g. coordinate-space mesh for static and dynamics)

### towards ab initio DFT via VPT (long term project)

systematic improvement of HFB

[project initiated with S. Bogner (MSU)]

## Example of (long term) project towards ab initio DFT via VPT

#### Basic idea of Variational Perturbation Theory

$$S = S_0 + S_{int} \rightarrow S = \underbrace{(S_0 + \mathcal{M}[U, \Delta])}_{reference} + \underbrace{(S_{int} - \mathcal{M}[U, \Delta])}_{perturbation}$$

 $\frac{\delta S}{\delta U} = \frac{\delta S}{\delta \Delta} = 0$ 

- ► reference (single particles) → KS / BdG / HFB like equations
- perturbation (diagrammatic)

 $\rightarrow$  self-consistent equations

[project initiated with S. Bogner (MSU)]

- ✓ systematic improvement of HFB
- ✓ generalizable (breaking symmetry, etc.)
- ✓ explicit in terms of densities (Wicks contractions) ≠ Legendre transform
- ✓ no quantum fluctuations of the collective fields ≠ Hubbard-Stratanovich transform
- ✓ exponentially fast convergence

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