

# Bridging nuclear *ab-initio* methods and Energy Density Functional Theories

From ultracold atoms to nuclear matter

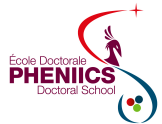
Antoine BOULET

Theory group, IPN Orsay

`antoine.boulet@ipno.in2p3.fr`

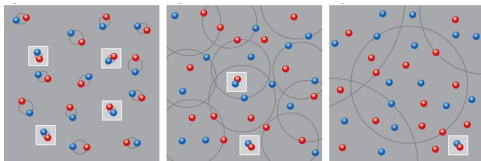
*Supervisor:* Denis LACROIX

*Collaborators:* Jérémy BONNARD, Marcella GRASSO, Jerry YANG



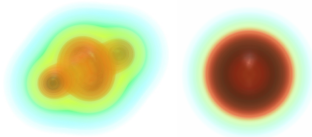
## 1 Motivations and context

- DFT vs EFT
- DFT at low density
- DFT at unitarity

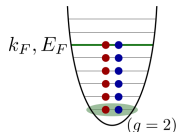


## 2 *Non-empirical* functional

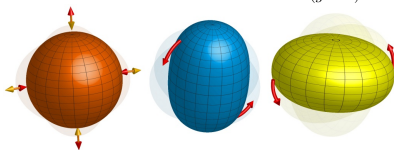
- Resummed formula for unitary gas
- *Non-empirical* DFT for neutron matter



## 3 Self-energy resummation



## 4 Summary and outlook



# Nuclear theories landscape

Physics of Hadrons



quarks, gluons

**QCD**



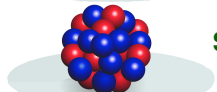
constituent quarks



baryons, mesons

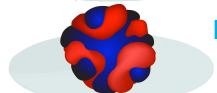
**ab-initio**

Physics of Nuclei



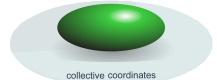
protons, neutrons

**SM**

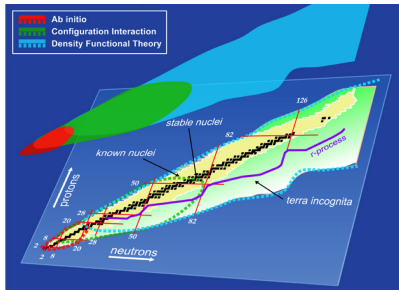


nucleonic densities and currents

**DFT**



collective coordinates



## Unified description of nuclear systems

- ▶ GS structure of the atomic nuclei
- ▶ Small and large amplitude dynamics
- ▶ Thermodynamics (finite/infinite systems)

# Nuclear theories landscape

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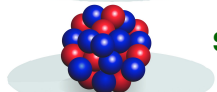
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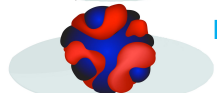
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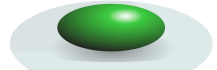
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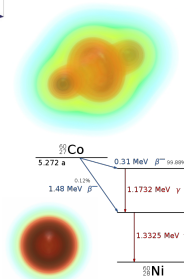
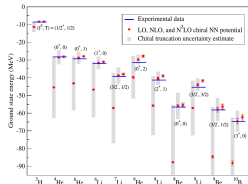
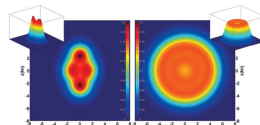


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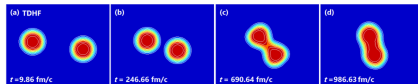
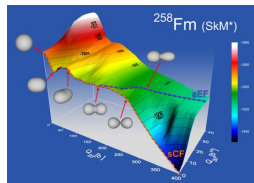
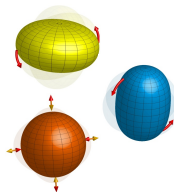
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Physics of Hadrons

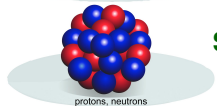


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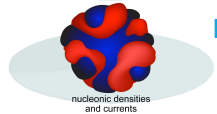


**ab-initio**

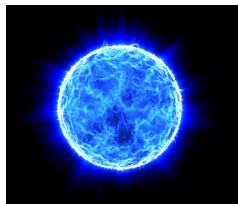
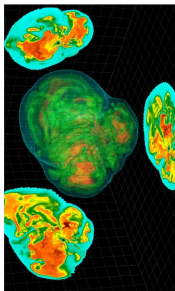
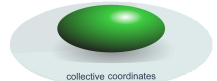
Physics of Nuclei



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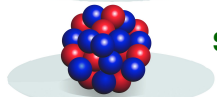
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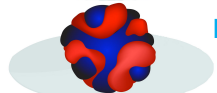
*ab-initio*

Physics of Nuclei



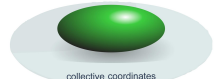
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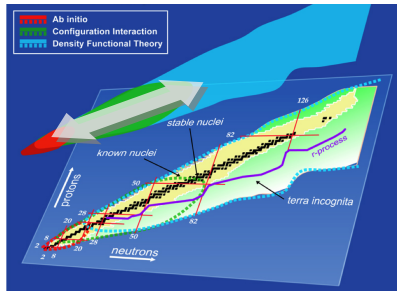


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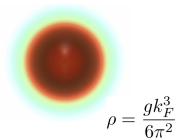
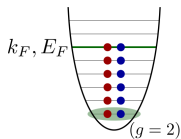
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## Strongly correlated Fermions in infinite matter

## Density Functional Theory (DFT) vs. Effective Field Theory (EFT)

## DFT / (N)EDF

$$\underbrace{|\psi_{1,\dots,N}\rangle}_{N\text{-body}} \longmapsto \underbrace{\rho}_{1\text{-body}} \longmapsto E[\rho]$$



## Nuclear DFT (Hartree-Fock like)

$$E[\rho] = \langle \psi[\rho] | T + V_{\text{eff}} | \psi[\rho] \rangle$$

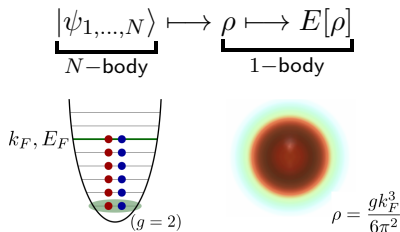
$$= \langle T \rangle + c_1 \rho^{\beta_1} + c_2 \rho^{\beta_2} + \dots$$



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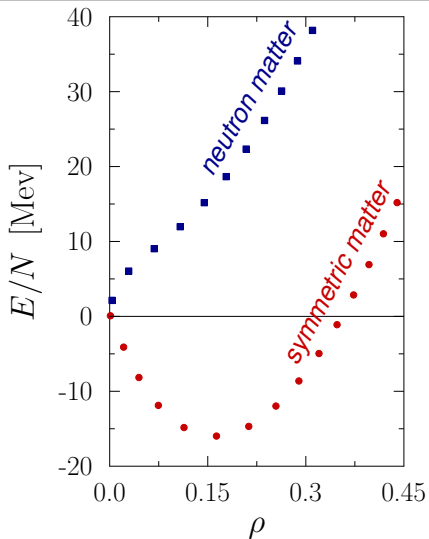
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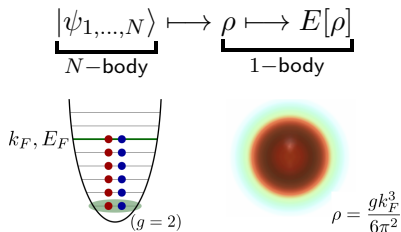
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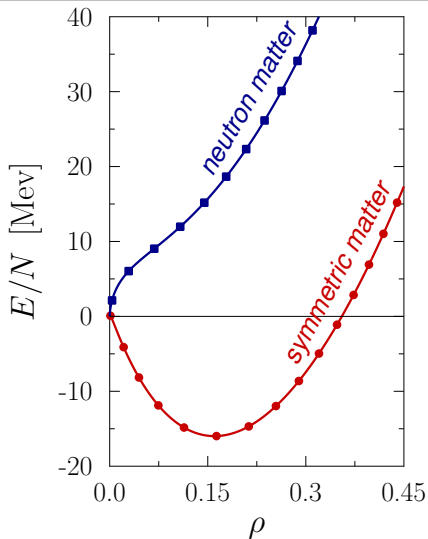
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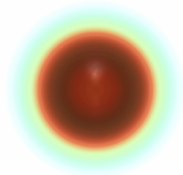
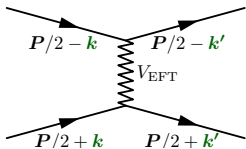


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*How to relate LECs to DFT?  
and make it less empirical?*



- ▶ Low density expansion
- ▶ Unitary limit

## Strongly correlated Fermions in infinite matter

Density Functional Theory (DFT) vs. Effective Field Theory (EFT)EFT at low density ( $s$ -scattering wave)

$$\langle \mathbf{k}' | V_{\text{EFT}} | \mathbf{k} \rangle = \frac{4\pi a_s}{m}$$

The diagram shows a central vertical wavy line representing the potential  $V_{\text{EFT}}$ . Two horizontal lines with arrows represent the particles. The top line starts at  $P/2 - k$  and ends at  $P/2 - k'$ . The bottom line starts at  $P/2 + k$  and ends at  $P/2 + k'$ .

$a_s$ :  $s$ -wave scattering length

Many-Body Perturbation Theory: *Lee-Yang formula*

$$|a_s k_F| \ll 1$$

$$\frac{E}{E_{FG}} = \frac{10}{9\pi} (a_s k_F) + \frac{4}{21\pi^2} (11 - 2 \ln 2) (a_s k_F)^2 + \dots$$

$$E_{FG} = \frac{3}{5} \frac{\hbar^2 k_F^2}{2m} \rho$$

(Free gas energy)

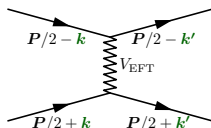
$$k_F = (3\pi^2 \rho)^{1/3}$$

(Fermi momentum)

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Density Functional Theory (DFT) vs. Effective Field Theory (EFT)EFT at low density ( $s$ -scattering wave)

$$\langle \mathbf{k}' | V_{\text{EFT}} | \mathbf{k} \rangle = \frac{4\pi a_s}{m} \left[ 1 + \frac{r_e a_s}{4} (k^2 + k'^2) + \dots \right]$$



$a_s$ :  $s$ -wave scattering length

$r_e$ :  $s$ -wave effective range

Many-Body Perturbation Theory: *Lee-Yang formula*

$$|a_s k_F| \ll 1 \quad \text{and} \quad |r_e k_F| \ll 1$$

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$$+ \frac{1}{6\pi} (r_e k_F) (a_s k_F)^2 + \dots$$

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# New insight from unitary Fermi gas

Physical scales of interest

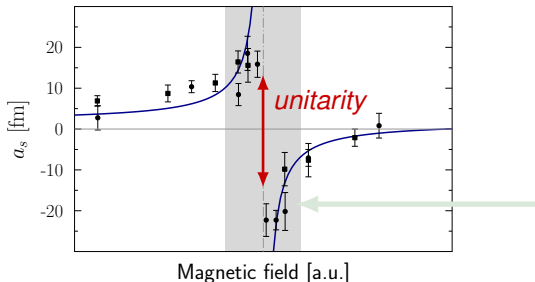
DFT at unitarity ( $a_s \rightarrow \pm\infty$ )

$$\frac{E[\rho]}{E_{FG}} = \xi_0$$

$\xi_0 \simeq 0.37$   
(Bertsch parameter)

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For Neutron Matter

$$a_s = -18.9 \text{ fm}$$

$$r_e = 2.7 \text{ fm}$$

[Regal & Jin, PRL **90** (2003)]

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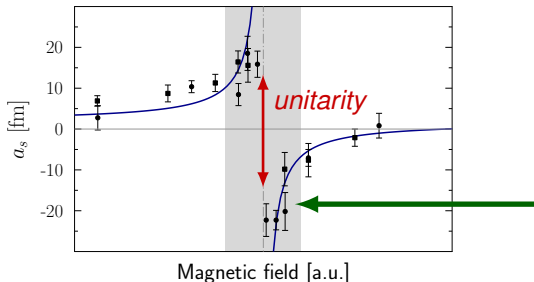
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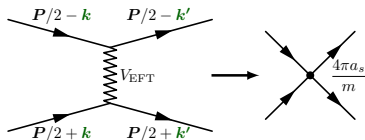
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## Resummed formula for unitary gas

## Ladder particle-particle diagrams resummation

## Contact interaction (EFT)



[Steele, arXiv:nucl-th/0010066 (2000)]

- ▶ Contains terms to **all order** in  $(a_s k_F)$
- ▶ **Finite limit** for Unitary gas ( $a_s \rightarrow \pm\infty$ )
- ▶ **Results strongly depends** on selected diagram

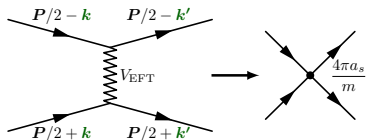
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 E &= \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots \\
 &= \left( \frac{4\pi a_s}{m} \right) \iint \frac{d^3 P}{(2\pi)^3} \frac{d^3 k}{(2\pi)^3} \frac{\theta_{\mathbf{k}}^-}{1 - (a_s k_F) F(P, k)}
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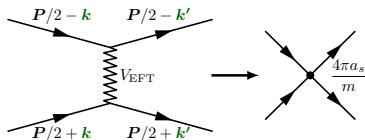
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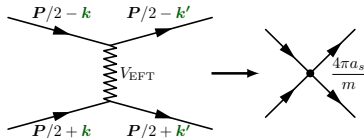
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 &= \left[ \frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F) + \frac{4}{21\pi} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots \right] E_{\text{FG}}
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## Phase-space average

$$F(\mathbf{P}, \mathbf{k}) \mapsto \frac{6}{35\pi} (11 - 2 \ln 2)$$

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[Schäfer *et al.*, NPA 762 (2005)]

▶ Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)^2$

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 &= \left[ \frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F) + \frac{4}{21\pi} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)^2 + \dots \right] E_{\text{FG}}
 \end{aligned}$$

## Phase-space average

$$\mathbf{F}(\mathbf{P}, \mathbf{k}) \mapsto \frac{6}{35\pi} (11 - 2 \ln 2)$$

$$\frac{E}{E_{\text{FG}}} = \frac{\frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F)}{1 - \frac{6}{35\pi} (11 - 2 \ln 2) (\mathbf{a}_s \mathbf{k}_F)}$$

[Schäfer *et al.*, NPA **762** (2005)]

▶ Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)^2$

▶ Bertsch parameter<sup>†</sup>

( $\mathbf{a}_s \mathbf{k}_F \rightarrow \infty$ ):

$$\xi_0 = 0.32$$

<sup>†</sup> Accepted value:  $\xi_0 \simeq 0.37$

## Resummed formula for unitary gas

## Pragmatic approach

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 E &= \left( \frac{4\pi a_s}{m} \right) \iint \frac{d^3 P}{(2\pi)^3} \frac{d^3 k}{(2\pi)^3} \frac{\theta_{\mathbf{k}}^-}{1 - (\mathbf{a}_s \mathbf{k}_F) \mathbf{F}(\mathbf{P}, \mathbf{k})} \\
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 \end{aligned}$$

Unitary limit ( $E \rightarrow \xi_0 E_{\text{FG}}$ )

$$\mathbf{F}(\mathbf{P}, \mathbf{k}) \mapsto \frac{10}{9\pi} (1 - \xi_0)^{-1}$$

$$\frac{E}{E_{\text{FG}}} = \frac{\frac{10}{9\pi} (\mathbf{a}_s \mathbf{k}_F)}{1 - \frac{10}{9\pi} (1 - \xi_0)^{-1} (\mathbf{a}_s \mathbf{k}_F)}$$

[Lacroix, PRA **94** (2016)]▶ Correct up to  $\mathcal{O}(\mathbf{a}_s \mathbf{k}_F)$ 

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 $(\mathbf{a}_s \mathbf{k}_F \rightarrow \infty)$ :

$$\xi_0 = 0.37 \quad (\text{exact})$$



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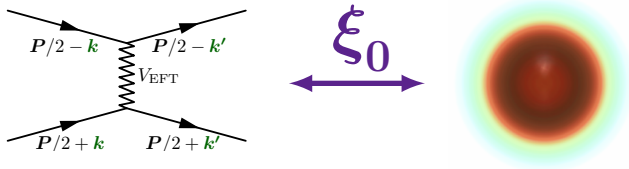
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*Non-empirical DFT based on  
LECs without free parameters:  
effective range generalization*



## Non-empirical DFT without free parameters

### Effective range effect and neutron matter

$$\frac{E}{E_{FG}} = \xi(a_s k_F, r_e k_F)$$

[Lacroix, PRA **94** (2016)]

[Lacroix, AB, Grasso and Yang, PRC **95** (2017)]

$$= 1 - \underbrace{\frac{U_0}{1 - (a_s k_F)^{-1} U_1}}_{\text{zero-range part}} + \frac{(r_e k_F) R_0}{\underbrace{[1 - R_1 (a_s k_F)^{-1}] [1 - R_1 (a_s k_F)^{-1} + R_2 (r_e k_F)]}_{\text{effective range part}}}$$

$(U_0, U_1, R_0, R_1, R_2)$  adjusted without free parameter to reproduce:

- ▶ Low density limit  $(|a_s k_F| \ll 1)$
- ▶ Unitary limit  $(|a_s k_F| \rightarrow \infty)$

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# Non-empirical DFT without free parameters

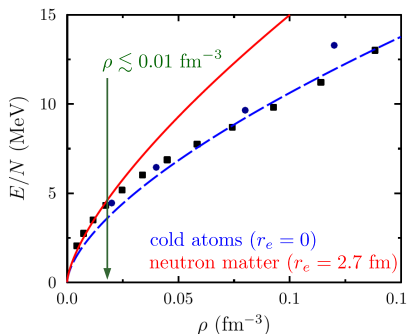
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- [Gezerlis & Carlson, PRC (2010)]
- [Carlson *et al.*, PTEP (2012)]
- [Akmal & Pandharipande, PRC (1998)]
- [Friedman & Pandharipande, NPA (1981)]

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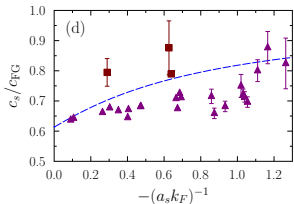
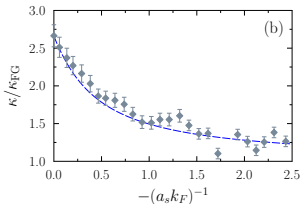
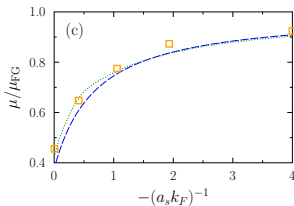
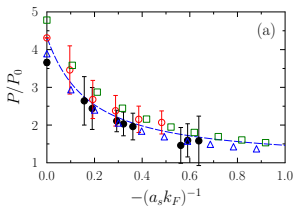
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## Theories

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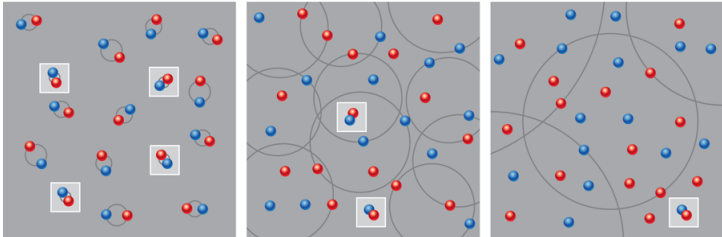
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In general the non-empirical DFT works very well in **cold atoms** at unitarity and away from unitarity.

# *To a microscopic theory*

*exploration of resummation techniques*





## What about the quasi-particles properties?

### Importance of the effective mass

#### Green functions and self-energy formalism

$$E = \int \frac{d^3k}{(2\pi)^3} G(\mathbf{k}) \Sigma^*(\mathbf{k})$$

- ▶  $\text{Re}[\Sigma^*(\mathbf{k})] = \varepsilon(\mathbf{k}) \rightarrow \frac{k^2}{2m^*} + U_0$  *(sp energy of qp)*
- ▶  $\text{Im}[\Sigma^*(\mathbf{k})] = \gamma(\mathbf{k})$  *(life time of qp)*

#### Relation with other theories

- ▶ Brueckner Hartree-Fock
- ▶ Landau Fermi liquid theory

### Self-energy resummation

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Break  
a leg

$$\Sigma^*(\mathbf{k}) = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

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Close the legs  
 $\Leftrightarrow \sim \int d^3k$

Break a leg

$$\Sigma^*(\mathbf{k}) = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

## Summary and perspectives

- ▶ A functional without free parameters was recently proposed and reproduce very well the properties of cold atoms
- ▶ The functional reproduce the *ab-initio* results at low density for neutron matter taking in account the effective range effect
- ▶ Applications: GS thermodynamics, static response and collective mode

## Summary and perspectives

### ▶ Short-term project

- ▶ Validity of **ressumation** to justify the functional
- ▶ Include the **effective mass** effect
- ▶ Include the **pairing** in the functional (study more precisely the **BEC-BCS crossover**)

### ▶ Long-term project

- ▶ Include the **3-body interaction**
- ▶ Extend the theory to **symmetric matter**, **finite nuclei** and finite **quantum droplet** (statics and dynamics)
- ▶ Include other **partial waves**



## Some GS thermodynamical quantities

### Infinite systems

$$\text{Non-empirical DFT: } E = \xi(a_s k_F, r_e k_F) E_{FG}$$

$$P \equiv \rho^2 \frac{\partial E/N}{\partial \rho} \quad \frac{1}{\kappa} \equiv \rho \frac{\partial P}{\partial \rho} \quad \mu \equiv \frac{\partial \rho E/N}{\partial \rho} \quad \rho = \frac{k_F^3}{3\pi^2}$$

#### Pressure $P$

$$\frac{P}{P_{FG}} = \xi + \frac{k_F}{2} \frac{\partial \xi}{\partial k_F}$$

#### Chemical potential $\mu$

$$\frac{\mu}{\mu_{FG}} = \xi + \frac{k_F}{5} \frac{\partial \xi}{\partial k_F}$$

#### Compressibility $\kappa$

$$\frac{\kappa_{FG}}{\kappa} = \xi + \frac{4k_F}{5} \frac{\partial \xi}{\partial k_F} + \frac{k_F^2}{10} \frac{\partial^2 \xi}{\partial k_F^2}$$

#### Sound velocity $c_s$

$$\left(\frac{c_s}{c}\right)^2 = (m\rho\kappa)^{-1}$$

# Cold atoms results ( $r_e = 0$ ) near unitary

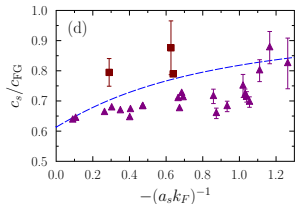
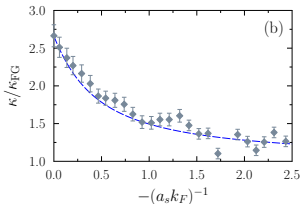
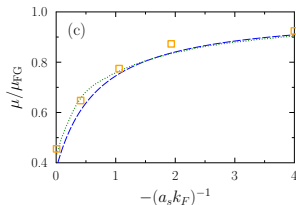
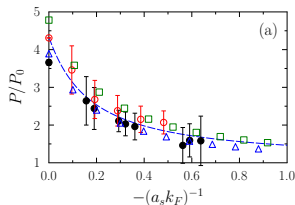
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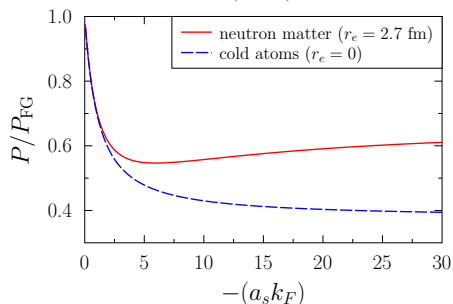
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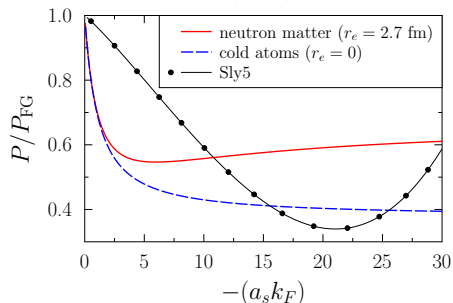
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### Neutron matter prediction



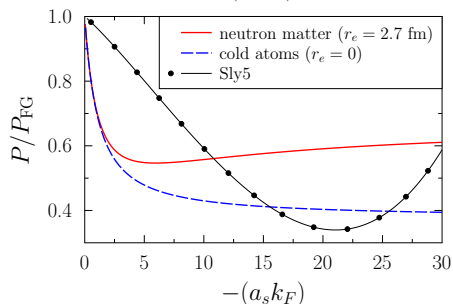
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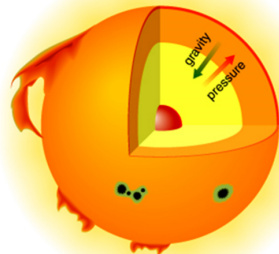


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## Neutron matter prediction



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# Linear response theory

## RPA formalism for infinite matter

System

$$E = \int d^3r \left( \underbrace{\mathcal{K}[\rho(\mathbf{r})]}_{\text{kinetic}} + \underbrace{\mathcal{V}[\rho(\mathbf{r})]}_{\text{interaction}} \right)$$

Weak external field

$$\hat{V}_{\text{ext}} = \sum_j \phi(\mathbf{q}, \omega) e^{i\mathbf{q} \cdot \mathbf{r}_j - i\omega t}$$

Response function  $\chi$

$$\rho(\mathbf{r}) \equiv \rho \rightarrow \rho + \delta\rho$$

$$\delta\rho = -\chi(\mathbf{q}, \omega) \phi(\mathbf{q}, \omega)$$

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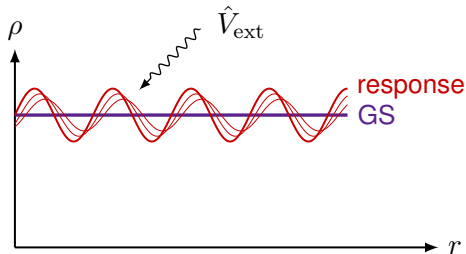
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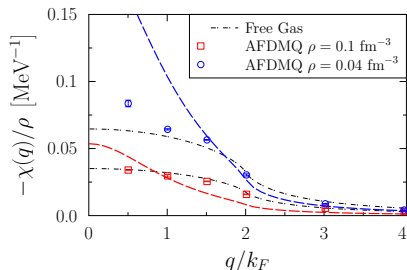
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# Linear static response function for neutron matter

## Comparison with recent QMC calculation

### Empirical DFT (Sly5)



AFDMC match Free Fermi Gas response (unlike *empirical* DFT)

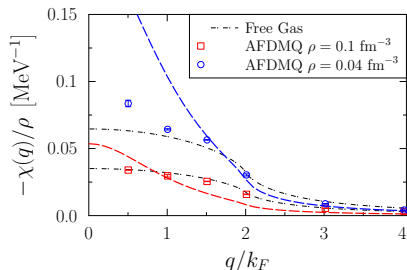
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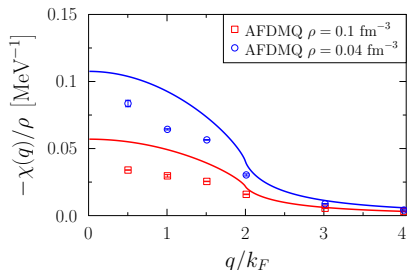
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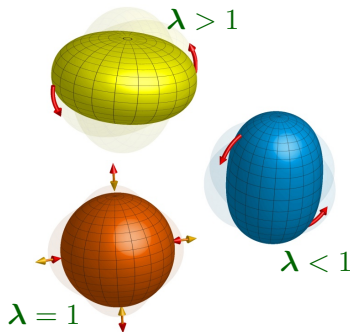
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$$U(\mathbf{r}) = \frac{m\omega_0^2}{2} (x^2 + y^2 + \lambda^2 z^2)$$

► **Polytropic EoS**  $P \propto \rho^\Gamma$

$\Gamma = \kappa P$  (adiabatic index of infinite system)

► **Linearized hydrodynamic**



Solution of cigar-shaped / prolate ( $\lambda \ll 1$ ):

$$\frac{\omega_{rad}^p}{\omega_0} = \sqrt{2\Gamma}$$

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[Heiselberg, PRL 93 (2004)]

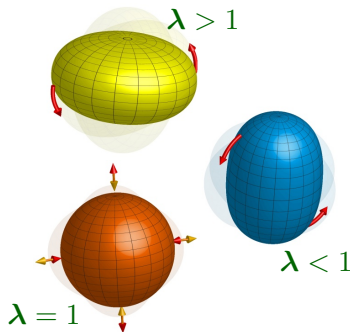
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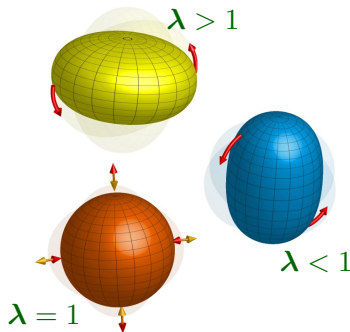
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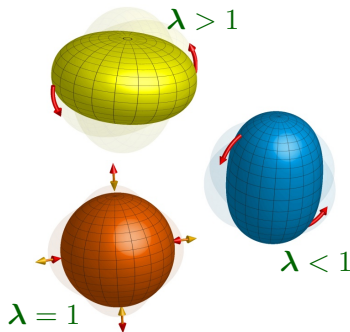
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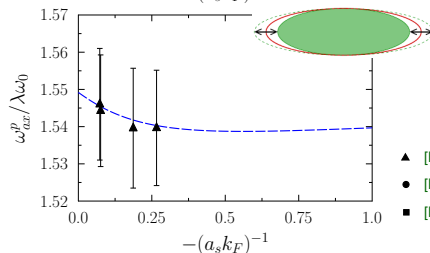
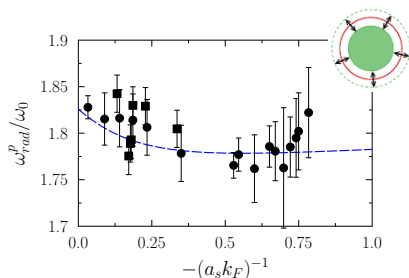
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# Collective mode in trapped cold atoms ( $r_e = 0$ )



## Prolate collective modes

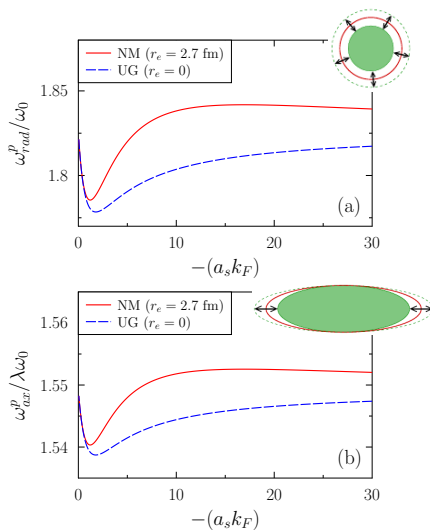
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- ▲ [Bartenstein *et al.*, PRL **92** (2004)]
- [Kinast, PRA **70** (2004)]
- [Kinast, PRL **92** (2004)]

[AB & Lacroix, PRC **97** (2018)]

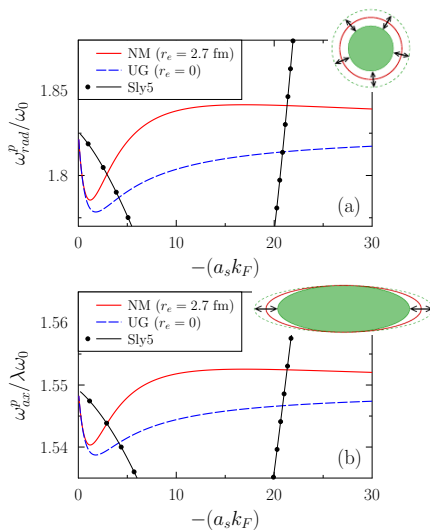
## Collective mode in trapped neutron matter



As for the GS (quasi-) static properties, **Skyrme functional results are very different**

Tests and constrains DFT?

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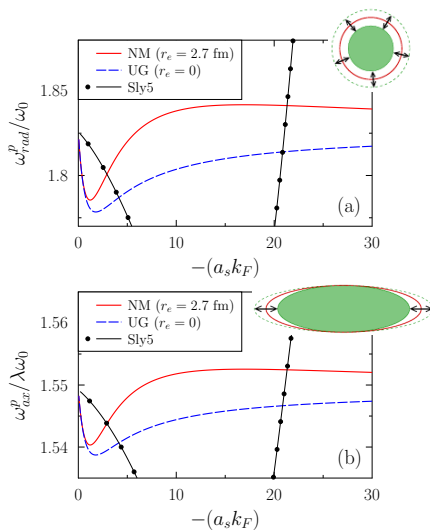
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## What about the quasi-particles properties?

### Importance of the effective mass

#### Green functions and self-energy formalism

$$E = \int \frac{d^3k}{(2\pi)^3} G(\mathbf{k}) \Sigma^*(\mathbf{k})$$

- ▶  $\text{Re}[\Sigma^*(\mathbf{k})] = \varepsilon(\mathbf{k}) \rightarrow \frac{k^2}{2m^*} + U_0$  (*sp energy of qp*)
- ▶  $\text{Im}[\Sigma^*(\mathbf{k})] = \gamma(\mathbf{k})$  (*life time of qp*)

#### Relation with other theories

- ▶ Brueckner Hartree-Fock
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### Self-energy resummation

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$$E = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

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Break  
a leg

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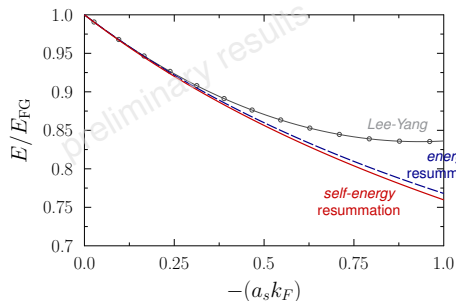
Close the legs  
 $\Leftrightarrow \sim \int d^3 k$

Break  
 a leg

$$\Sigma^*(\mathbf{k}) = \mathcal{O}(a_s k_F) + \mathcal{O}(a_s k_F)^2 + \mathcal{O}(a_s k_F)^3 + \mathcal{O}(a_s k_F)^4 + \dots + \mathcal{O}(a_s k_F)^n + \dots$$

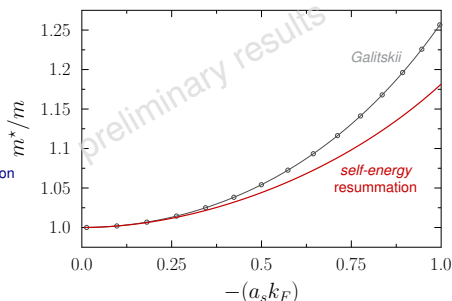
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## Self-energy resummation



### Lee-Yang formula

$$\frac{E}{E_{FG}} = 1 + \frac{10}{9\pi}(a_s k_F) + \frac{4}{21\pi^2}(11 - 2 \ln 2)(a_s k_F)^2 + \dots$$









### Galitskii formula

$$\frac{m^*}{m} = 1 + \frac{4}{15\pi^2}(7 \ln 2 - 1)(a_s k_F)^2$$



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